

**OFF-SITE CORRECTIVE ACTION PLAN
ASSESSMENT**

of

BLACKWATER RIVER CORRECTIONAL FACILITY

for the

Physical and Mental Health Survey
Conducted October 15-17, 2019

CMA STAFF

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I. Overview

On October 15-17, 2019, the Correctional Medical Authority (CMA) conducted an on-site physical and mental health survey of Blackwater River Correctional Facility (BRCF). The survey report was distributed on November 13, 2019. In December 2019, BRCF submitted and the CMA approved, the institutional corrective action plan (CAP) which outlined the efforts to be undertaken to address the findings of the BRCF survey. These efforts included in-service training, physical plant improvements, and the monitoring of applicable medical records for a period of no less than ninety days. Items II and III below describe the outcome of the CMA’s evaluation of the institution’s efforts to address the survey findings.

Summary of CAP Assessments for Blackwater River Correctional Facility

CAP #	Request Date for Monitoring Documents	CAP Assessment Date	Assessment Location	Total # Survey Findings	Total # Open Findings	Total # Findings Closed
1	6/17/20	7/14/20	Off-site	11	4	7

II. Physical Health Assessment Summary

The CAP closure files revealed sufficient evidence to determine that 3 of the 3 physical health findings were corrected. All physical health findings will close.

Finding	Closed	Open: Evaluation of records indicated an acceptable level of compliance was not met	Open: No episodes were available for review	Open: Institutional monitoring was inadequate	Open: Institutional monitoring indicated compliance was not met
<u>Oncology Clinic</u> PH-1: In 1 of 5 records reviewed, there was no evidence of the control of the disease and/or status of the patient.	X				

Finding	Closed	Open: Evaluation of records indicated an acceptable level of compliance was not met	Open: No episodes were available for review	Open: Institutional monitoring was inadequate	Open: Institutional monitoring indicated compliance was not met
<u>Dental Systems Review</u> PH-2: There were no preventive dentistry or oral hygiene posters displayed in the dental area.	X				
<u>Additional Administrative Issues</u> PH-3: The call-out system for notifying inmates of appointments was not effective.	X				

III. Mental Health Assessment Summary

A. Main Unit

The CAP closure files revealed sufficient evidence to determine that 4 of the 8 mental health findings were corrected. Four mental health findings will remain open.

Finding	Closed	Open: Evaluation of records indicated an acceptable level of compliance was not met	Open: No episodes were available for review	Open: Institutional monitoring was inadequate	Open: Institutional monitoring indicated compliance was not met
<p><u>Self-Injury and Suicide Prevention</u> MH-1: In 1 record, SHOS orders were not cosigned by the next working day.</p>	X				
<p><u>Self-Injury and Suicide Prevention</u> MH-2: In 2 records, documentation did not indicate the inmate was observed at the frequency ordered by the clinician.</p>		X			
<p><u>Self-Injury and Suicide Prevention</u> MH-3: In 4 records, the "Inpatient Mental Health Daily Nursing Evaluations (Forms DC4-673B) were not completed once per shift or were incomplete.</p>		X			
<p><u>Self-Injury and Suicide Prevention</u> MH-4: In 1 record, there was no evidence of daily rounds by the clinician.</p>	X				
<p><u>Self-Injury and Suicide Prevention</u> MH-5: In 1 record, there was no evidence the decision to discharge the inmate was clinically appropriate.</p>		X			

Finding	Closed	Open: Evaluation of records indicated an acceptable level of compliance was not met	Open: No episodes were available for review	Open: Institutional monitoring was inadequate	Open: Institutional monitoring indicated compliance was not met
<u>Self-Injury and Suicide Prevention</u> MH-6: In 1 record, mental health staff did not provide post-discharge follow-up within 7 days.	X				
<u>Inmate Request</u> MH-7: In 4 of 13 records reviewed, the response to the inmate request did not address the stated need.		X			
<u>Mental Health Systems Review</u> MH-8: The process for medication refusals was inadequate.	X				

IV. Conclusion

Physical Health-Main Unit

All physical health findings are closed.

Mental Health-Main Unit

The following mental health findings will close: MH-1, MH-4, MH-6, & MH-8. All other mental health findings will remain open.

Until appropriate corrective actions are undertaken by BRCF staff and the results of those corrections reviewed by the CMA, this CAP will remain open. As some of the necessary steps to correct findings require further institutional monitoring, closure may take as long as three months. Follow-up assessment by the CMA will most likely take place through an off-site evaluation.