

**SECOND OFF-SITE CORRECTIVE ACTION PLAN  
ASSESSMENT**

of

**DESOTO CORRECTIONAL INSTITUTION**

for the

Physical and Mental Health Survey  
Conducted September 6-8, 2016

**CMA STAFF**

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CAP Assessment Distributed on November 21, 2017

## CAP Assessment of Desoto Correctional Institution

### I. Overview

On September 6-8, 2016, the Correctional Medical Authority (CMA) conducted an on-site physical and mental health survey of Desoto Correctional Institution (DESCI). The survey report was distributed on September 30, 2016. In October 2016, DESC I submitted and the CMA approved, the institutional corrective action plan (CAP) which outlined the efforts to be undertaken to address the findings of the September 2016 survey. These efforts included in-service training, physical plant improvements, and the monitoring of applicable medical records for a period of no less than ninety days.

On May 15, 2017, CMA staff requested access to monitoring documents to assist in determining if an on-site or off-site assessment should be conducted. Based on the documents provided, CMA staff conducted an on-site CAP assessment on June 7, 2017 to evaluate the effectiveness of corrective actions taken by institutional staff. The CAP closure files revealed sufficient evidence to determine that 9 of 9 physical health findings and 1 of 7 mental health findings were corrected.

On August 24, 2017, CMA staff requested access to monitoring documents to assist in determining if an on-site or off-site assessment should be conducted. Based on the documents provided, CMA staff conducted an off-site CAP assessment on November 20, 2017 to evaluate the effectiveness of corrective actions taken by institutional staff. Items II and III below describe the outcome of the CMA's evaluation of the institution's efforts to address the survey findings.

### II. Physical Health Assessment Summary

All physical health findings are closed.

### III. Mental Health Assessment Summary

The CAP closure files revealed evidence to determine that 1 of 6 mental health findings were corrected. Five mental health findings will remain open.

Finding	CAP Evaluation Outcome
<b><u>SELF-HARM OBSERVATION STATUS (SHOS)</u></b> <b>MH-1: In 3 of 7 records reviewed, mental health staff did not provide post-discharge follow up within 7 days.</b>	<b>MH-1 CLOSED</b> Adequate evidence of in-service training and documentation of correction were provided to close MH-1.

Finding	CAP Evaluation Outcome
<p><b><u>OUTPATIENT MENTAL HEALTH SERVICES</u></b></p> <p><b>MH-3: In 2 of 8 applicable records, the inmate was not interviewed by mental health staff within 14 days of arrival.</b></p> <p><b>MH-4: In 2 of 3 applicable records, the sex offender screening was not completed.</b></p> <p><b>MH-5: In 5 of 10 applicable records, the Individualized Service Plan (ISP) was not revised at 180 day intervals.</b></p> <p><b>MH-6: In 8 of 11 applicable records, the inmate was not seen for counseling services as indicated on the ISP.</b></p> <p><b>MH-7: In 8 of 11 applicable records, the inmate did not receive case management services as listed on the ISP.</b></p>	<p><b>MH-3, MH-4, MH-5, MH-6, &amp; MH-7 OPEN</b></p> <p>Adequate evidence of in-service training was provided, however institutional monitoring was inadequate, therefore an acceptable level of compliance cannot be determined. MH-3, MH-4, MH-5, MH-6, &amp; MH-7 will remain open.</p>

#### IV. Conclusion

All physical health portions are closed. Mental health finding MH-1 will close and all other mental health portions will remain open.

Until such time as appropriate corrective actions are undertaken by staff and the results of those corrections reviewed by the CMA, this CAP will remain open. As some of the necessary steps to correct findings require further institutional monitoring, closure may take as long as three months. Follow-up assessment by the CMA will most likely take place through an on-site visit.