

**FOURTH OFF-SITE CORRECTIVE ACTION PLAN
ASSESSMENT**

of

FRANKLIN CORRECTIONAL INSTITUTION

for the

Physical and Mental Health Survey
Conducted March 29 - 31, 2016

CMA STAFF

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CAP Assessment Distributed on September 15, 2017

CAP Assessment of Franklin Correctional Institution

I. Overview

On March 29 - 31, 2016, the Correctional Medical Authority (CMA) conducted an on-site physical and mental health survey of Franklin Correctional Institution (FRACI). The survey report was distributed on April 22, 2016. In May 2016, FRACI submitted and the CMA approved, the institutional corrective action plan (CAP) which outlined the efforts to be undertaken to address the findings of the March 2016 survey. These efforts included in-service training, physical plant improvements, and the monitoring of applicable medical records for a period of no less than ninety days.

On August 31, 2016, CMA staff requested access to monitoring documents to assist in determining if an on-site or off-site assessment should be conducted. Based on the documents provided, CMA staff conducted an on-site CAP assessment on September 23, 2016 to evaluate the effectiveness of corrective actions taken by institutional staff. The CAP closure files revealed sufficient evidence to determine that 14 of 15 physical health findings and 10 of 23 mental health findings were corrected.

On December 21, 2016, CMA staff requested access to monitoring documents to assist in determining if an on-site or off-site assessment should be conducted. Based on the documents provided, CMA staff conducted an on-site CAP assessment on January 27, 2017 to evaluate the effectiveness of corrective actions taken by institutional staff. The CAP closure files revealed sufficient evidence to determine that 1 of 1 physical health findings and 12 of 13 mental health findings were corrected.

On April 18, 2017, CMA staff requested access to monitoring documents to assist in determining if an on-site or off-site assessment should be conducted. Based on the documents provided, CMA staff conducted an off-site CAP assessment on May 17, 2017 to evaluate the effectiveness of corrective actions taken by institutional staff. The CAP closure files revealed sufficient evidence to determine that 0 of 1 mental health findings were corrected.

On August 24, 2017, CMA staff requested access to monitoring documents to assist in determining if an on-site or off-site assessment should be conducted. Based on the documents provided, CMA staff conducted an off-site CAP assessment on September 14, 2017 to evaluate the effectiveness of corrective actions taken by institutional staff. Items II and III below describe the outcome of the CMA's evaluation of the institution's efforts to address the survey findings.

II. Physical Health Assessment Summary

All physical health findings are closed.

III. Mental Health Assessment Summary

The CAP closure files revealed evidence to determine that 1 of 1 mental health findings were corrected. All mental health findings are corrected.

Finding	CAP Evaluation Outcome
<p><u>SELF-HARM OBSERVATION STATUS (SHOS)</u></p> <p>MH-3: In 7 of 9 records reviewed, there was no evidence that the attending clinician conducted a face-to-face evaluation prior to discharge.</p>	<p>MH-3 CLOSED</p> <p>Adequate evidence of in-service training and documentation of corrective action were provided to close MH-3.</p>

IV. Conclusion

All findings of the March 2016 survey are closed and no further action is required on this CAP. The CMA appreciates the efforts to improve services and documentation at this institution and continues to encourage ongoing quality improvement activities to ensure that the proper provision of health care services is maintained.