

**SECOND OFF-SITE CORRECTIVE ACTION PLAN
ASSESSMENT**

of

GULF CORRECTIONAL INSTITUTION

for the

Physical and Mental Health Survey
Conducted January 12 - 14, 2016

CMA STAFF

Lynne Babchuck, LCSW
Jane Holmes-Cain, LCSW

CAP Assessment Distributed on November 2, 2016

CAP Assessment of Gulf Correctional Institution

I. Overview

On January 12-14, 2016, the Correctional Medical Authority (CMA) conducted an on-site physical and mental health survey of Gulf Correctional Institution (GULCI). The survey report was distributed on February 11, 2016. In March 2016, GULCI submitted and the CMA approved, the institutional corrective action plan (CAP) which outlined the efforts to be undertaken to address the findings of the January 2016 survey. These efforts included in-service training, physical plant improvements, and the monitoring of applicable medical records for a period of no less than ninety days.

On May 12, 2016, CMA staff requested access to monitoring documents to assist in determining if an on-site or off-site assessment should be conducted. Based on the documents provided, CMA staff conducted an on-site CAP assessment on June 14, 2016 to evaluate the effectiveness of corrective actions taken by institutional staff. The CAP closure files revealed sufficient evidence to determine that 6 of 7 physical health findings were corrected on the Main Unit. There were no mental health findings requiring corrective action as a result of the CMA survey of the Main Unit. Additionally, the CAP closure files revealed that 16 of 17 physical health findings and 2 of 3 mental health findings were corrected at the Annex.

On September 21, 2016, CMA staff requested access to monitoring documents to assist in determining if an on-site or off-site assessment should be conducted. Based on the documents provided, CMA staff conducted an off-site CAP assessment on October 7, 2016 to evaluate the effectiveness of corrective actions taken by institutional staff. Items II and III below describe the outcome of the CMA's evaluation of the institution's efforts to address the survey findings.

II. Physical Health Assessment Summary

A. Main Unit

The CAP closure files revealed sufficient evidence to determine that 1 of the 1 physical health findings were corrected. All physical health findings are closed.

Finding	CAP Evaluation Outcome
<u>DENTAL SYSTEMS REVIEW</u> PH-5: A tour of the dental clinic revealed that necessary equipment was not in proper working order.	PH-5 CLOSED Adequate documentation of correction was provided to close PH-5.

B. Annex

The CAP closure files revealed sufficient evidence to determine that 0 of 1 physical health findings were corrected. One physical health finding will remain open.

Finding	CAP Evaluation Outcome
<u>DENTAL SYSTEMS REVIEW</u> PH-13: A tour of the dental clinic revealed that the clinic was in disrepair.	PH-13 OPEN Adequate documentation of correction was not provided. PH-13 will remain open (see discussion).

Discussion PH-13: At the time of the CAP assessment the necessary repairs to the dental building had not been made. Per discussions with the FDC State Dental Director, a request will be made to the Health Department to provide an updated inspection.

III. Mental Health Assessment Summary

A. Main Unit

There were no findings as a result of the January 2016 survey.

B. Annex

The CAP closure files revealed evidence to determine that 1 of 1 mental health findings were corrected. All mental health findings are closed.

Finding	CAP Evaluation Outcome
<u>SELF-HARM OBSERVATION STATUS (SHOS)</u> MH-3: In 5 of 7 records reviewed, there was no evidence the attending clinician conducted a face-to-face evaluation prior to discharge from SHOS.	MH-3 CLOSED Adequate evidence of in-service training and documentation of correction were provided to close MH-3.

IV. Conclusion

Physical Health Main Unit

All other physical health portions will close.

Physical Health Annex

The following physical health finding will remain open: PH-13. All other physical health portions will close.

Mental Health Main Unit

There were no findings as a result of the January 2016 survey.

Mental Health Annex

All mental health findings are closed.

Until such time as appropriate corrective actions are undertaken by staff and the results of those corrections reviewed by the CMA, this CAP will remain open. As some of the necessary steps to correct findings require further institutional monitoring, closure may take as long as three months. Follow-up assessment by the CMA will most likely take place through an off-site visit, but the option remains open to conduct an on-site evaluation.