

**SECOND OFF-SITE CORRECTIVE ACTION PLAN  
ASSESSMENT**

of

**HAMILTON CORRECTIONAL INSTITUTION**

for the

Physical and Mental Health Survey  
Conducted May 6 - 7, 2015

**CMA STAFF**

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CAP Assessment Distributed on March 28, 2016

## CAP Assessment of Hamilton Correctional Institution

### I. Overview

On May 6-7, 2015, the Correctional Medical Authority (CMA) conducted an on-site physical and mental health survey of Hamilton Correctional Institution (HAMCI). The survey report was distributed on May 26, 2015. In June 2015, HAMCI submitted and the CMA approved, the institutional corrective action plan (CAP) which outlined the efforts to be undertaken to address the findings of the May 2015 survey. These efforts included in-service training, physical plant improvements, and the monitoring of applicable medical records for a period of no less than ninety days. On October 2, 2015, CMA staff requested access to monitoring documents to assist in determining if an on-site or off-site assessment should be conducted. Based on the documents provided, CMA staff conducted an on-site CAP assessment on October 28, 2015 to evaluate the effectiveness of corrective actions taken by institutional staff. The CAP closure files revealed sufficient evidence to determine that on the Main Unit, 12 of 12 physical health findings and 8 of 8 mental health findings were corrected. Additionally, at the Annex 7 of 8 physical health findings and 6 of 9 mental health findings were corrected.

On February 9, 2016, CMA staff requested access to monitoring documents to assist in determining if an on-site or off-site assessment should be conducted. Based on the documents provided, an off-site CAP assessment was conducted on March 14, 2016 to evaluate the effectiveness of corrective actions taken by institutional staff. Items II and III below describe the outcome of the CMA's evaluation of the institution's efforts to address the survey findings.

### II. Physical Health Assessment Summary

#### A. Main Unit

All physical health findings were closed on the first CAP assessment.

#### B. Annex

The CAP closure files revealed sufficient evidence to determine that 1 of 1 physical health findings were corrected. All physical health findings are closed.

Finding	CAP Evaluation Outcome
<b><u>RESPIRATORY CLINIC</u></b> <b>PH-5: In 7 of 13 applicable records (16 reviewed), there was no evidence that reactive airway disease was classified as mild, moderate, or severe.</b>	<b>PH-5 CLOSED</b> Adequate evidence of in-service training and documentation of correction were provided to close PH-5.

### III. Mental Health Assessment Summary

#### A. Main Unit

All mental health findings were closed on the first CAP assessment.

#### B. Annex

The CAP closure files revealed evidence to determine that 1 of 3 mental health findings were corrected. Two mental health findings will remain open.

Finding	CAP Evaluation Outcome
<p><b><u>SELF-HARM OBSERVATION STATUS (SHOS)</u></b></p> <p><b>A comprehensive review of 10 SHOS admissions revealed the following deficiencies:</b></p> <p><b>MH-2: In 6 of 7 applicable records, the guidelines for SHOS management were not observed.</b></p> <p><b>MH-4: In 7 records, mental health staff did not provide post-discharge follow-up within 7 days.</b></p>	<p><b>MH-2 CLOSED</b></p> <p>Adequate evidence of in-service training and documentation of correction were provided to close MH-2.</p> <p><b>MH-4 OPEN</b></p> <p>Adequate evidence of in-service training was provided, however institutional monitoring indicated an acceptable level of compliance had not been reached. MH-4 will remain open.</p>

Finding	CAP Evaluation Outcome
<p><b><u>SPECIAL HOUSING</u></b></p> <p><b>MH-6: In 2 of 6 records (8 reviewed), the mental status exam (MSE) was not in the medical record or not completed within the required time frame.</b></p>	<p><b>MH-6 OPEN</b></p> <p>Adequate evidence of in-service training was provided, however a review of randomly selected records indicated that an acceptable level of compliance had not been reached. MH-6 will remain open.</p>

#### **IV. Conclusion**

##### **Physical Health Main Unit**

All physical health portions are closed.

##### **Physical Health Annex**

All physical health portions are closed.

##### **Mental Health Main Unit**

All mental health portions are closed.

##### **Mental Health Annex**

MH-4 and MH-6 will remain open and all other mental health portions will close.

Until such time as appropriate corrective actions are undertaken by HAMCI staff and the results of those corrections reviewed by the CMA, this CAP will remain open. As some of the necessary steps to correct findings require further institutional monitoring, closure may take as long as three months. Follow-up assessment by the CMA will most likely take place through an off-site visit, but the option remains open to conduct an on-site evaluation.