

**OFF-SITE CORRECTIVE ACTION PLAN
ASSESSMENT**

of

HOLMES CORRECTIONAL INSTITUTION

for the

Physical and Mental Health Survey
Conducted June 3 - 4, 2015

CMA STAFF

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CAP Assessment Distributed on December 2, 2015

CAP Assessment of Holmes Correctional Institution

I. Overview

On June 3 - 4, 2015, the Correctional Medical Authority (CMA) conducted an on-site physical and mental health survey of Holmes Correctional Institution (HOLCI). The survey report was distributed on June 22, 2015. In July 2015, HOLCI submitted and the CMA approved, the institutional corrective action plan (CAP) which outlined the efforts to be undertaken to address the findings of the June 2015 survey. These efforts included in-service training, physical plant improvements, and the monitoring of applicable medical records for a period of no less than ninety days. On November 2, 2015, CMA staff requested access to monitoring documents to assist in determining if an on-site or off-site assessment should be conducted. Based on the documents provided, CMA staff conducted an off-site CAP assessment on December 1, 2015 to evaluate the effectiveness of corrective actions taken by institutional staff. Items II and III below describe the outcome of the CMA's evaluation of the institution's efforts to address the survey findings.

II. Physical Health Assessment Summary

The CAP closure files revealed sufficient evidence to determine that 8 of the 8 physical health findings were corrected. All physical health findings are closed.

Finding	CAP Evaluation Outcome
<u>CARDIOVASCULAR CLINIC</u> PH-1: In 5 of 18 records reviewed, there was no evidence of influenza vaccine or refusal.	PH-1 CLOSED Adequate evidence of in-service training and documentation of correction were provided to close PH-1.

Finding	CAP Evaluation Outcome
<u>NEUROLOGY CLINIC</u> PH-2: In 3 of 10 records reviewed, there was no evidence that abnormal labs were addressed timely.	PH-2 CLOSED Adequate evidence of in-service training and documentation of correction were provided to close PH-2.

Finding	CAP Evaluation Outcome
<p><u>TUBERCULOSIS CLINIC</u></p> <p>PH-3: In 2 of 10 records reviewed, the physical examination was incomplete.</p>	<p>PH-3 CLOSED</p> <p>No episodes were available for review during the monitoring period. However, adequate evidence of in-service training and education was provided to close PH-3.</p>

Finding	CAP Evaluation Outcome
<p><u>CONSULTATIONS</u></p> <p>PH-4: In 4 of 10 records reviewed, the diagnosis was not reflected on the problem list.</p>	<p>PH-4 CLOSED</p> <p>Adequate evidence of in-service training and documentation of correction were provided to close PH-4.</p>

Finding	CAP Evaluation Outcome
<p><u>MEDICAL INMATE REQUESTS</u></p> <p>PH-5: In 2 of 8 applicable records (17 reviewed), there was no evidence that the response occurred as intended.</p>	<p>PH-5 CLOSED</p> <p>Adequate evidence of in-service training and documentation of correction were provided to close PH-5.</p>

Finding	CAP Evaluation Outcome
<p><u>DENTAL SYSTEMS</u></p> <p>PH-6: There was no evidence that all necessary equipment was available.</p>	<p>PH-6 CLOSED</p> <p>Adequate documentation of correction was provided to close PH-6.</p>

Finding	CAP Evaluation Outcome
<p><u>PHARMACY SERVICES</u></p> <p>PH-7: A review of medical clinic systems revealed improperly stored and expired HIV medications.</p>	<p>PH-7 CLOSED</p> <p>Adequate evidence of in-service training and documentation of correction were provided to close PH-7.</p>

Finding	CAP Evaluation Outcome
<p><u>PILL LINE ADMINISTRATION</u></p> <p>PH-8: Staff administering the medications did not wash their hands prior to beginning the pill line.</p>	<p>PH-8 CLOSED</p> <p>Adequate evidence of in-service training and documentation of correction were provided to close PH-8.</p>

III. Mental Health Assessment Summary

There were no mental health findings as a result of the June 2015 survey.

IV. Conclusion

All CAP findings are closed, and all outstanding issues related to the CMA survey of HOLCI are adequately resolved. No further action is required.