

**FOURTH OFF-SITE CORRECTIVE ACTION PLAN  
ASSESSMENT**

of

**LANCASTER CORRECTIONAL INSTITUTION**

for the

Physical and Mental Health Survey  
Conducted September 21-23, 2021

**CMA STAFF**

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## I. Overview

On September 21-23, 2021, the Correctional Medical Authority (CMA) conducted an on-site physical and mental health survey of Lancaster Correctional Institution (LANCI). The survey report was distributed on October 26, 2021. In November 2021, LANCI submitted, and the CMA approved, the institutional corrective action plan (CAP) which outlined the efforts to be undertaken to address the findings of the LANCI survey. These efforts included in-service training, physical plant improvements, and the monitoring of applicable medical records for a period of no less than ninety days. Items II and III below describe the outcome of the CMA's evaluation of the institution's efforts to address the survey findings.

### Summary of CAP Assessments for Lancaster Correctional Institution

| CAP # | Request Date for Monitoring Documents | CAP Assessment Date | Assessment Location | Total # Survey Findings | Total # Open Findings | Total # Findings Closed |
|-------|---------------------------------------|---------------------|---------------------|-------------------------|-----------------------|-------------------------|
| 1     | 3/29/22                               | 5/7/22              | Off-site            | 26                      | 9                     | 17                      |
| 2     | 10/1/22                               | 10/31/22            | Off-site            | 9                       | 7                     | 2                       |
| 3     | 2/10/23                               | 2/25/23             | Off-site            | 7                       | 4                     | 3                       |
| 4     | 8/3/23                                | 8/4/23              | Off-site            | 4                       | 0                     | 4                       |

## II. Physical Health Assessment Summary

All physical health findings are closed.

### III. Mental Health Assessment Summary

#### A. Main Unit

The CAP closure files revealed sufficient evidence to determine that 4 of the 4 mental health findings were corrected. All mental health findings are closed.

| <b>Finding</b>  | <b>Closed</b> | <b>Open:</b> Evaluation of records indicated an acceptable level of compliance was not met | <b>Open:</b> No episodes were available for review | <b>Open:</b> Institutional monitoring was inadequate | <b>Open:</b> Institutional monitoring indicated compliance was not met |
|---|---------------|--|--|--|--|
| <b><u>OUTPATIENT SERVICES</u></b><br>MH-13: In 4 of 9 applicable records, the Individualized Service Plan (ISP) was not updated within 30 days of receiving S-2 or S-3 grade at this institution. | <b>X</b>      |  |  |  |  |
| MH-14: In 4 records, the ISP was not individualized and/or did not contain required components.   | <b>X</b>      |  |  |  |  |
| <b><u>AFTERCARE PLANNING</u></b><br>MH-15: In 4 of 12 records reviewed, aftercare plans were not addressed on the ISP for inmates within 180 days of end of sentence.                             | <b>X</b>      |  |  |  |  |
| <b><u>MENTAL HEALTH SYSTEMS REVIEW</u></b><br>MH-16: Outpatient therapeutic groups were not provided to meet the needs of the inmate population.  | <b>X</b>      |  |  |  |  |

#### **IV. Conclusion**

All findings as a result of the September 2021 survey are closed and no further action is required on this CAP. The CMA appreciates the efforts to improve services and documentation at this institution and continues to encourage ongoing quality improvement activities to ensure that the proper provision of health care services is maintained.