

**THIRD OFF-SITE CORRECTIVE ACTION PLAN
ASSESSMENT**

of

LAKE CITY CORRECTIONAL FACILITY

for the

Physical and Mental Health Survey
Conducted October 12-14, 2021

CMA STAFF

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I. Overview

On October 12-14, 2021, the Correctional Medical Authority (CMA) conducted an on-site physical and mental health survey of Lake City Correctional Facility (LCCF). The survey report was distributed on November 9, 2021. In December 2021, LCCF submitted, and the CMA approved, the institutional corrective action plan (CAP) which outlined the efforts to be undertaken to address the findings of the LCCF survey. These efforts included in-service training, physical plant improvements, and the monitoring of applicable medical records for a period of no less than ninety days. Items II and III below describe the outcome of the CMA's evaluation of the institution's efforts to address the survey findings.

Summary of CAP Assessments for Lake City Correctional Facility

CAP #	Request Date for Monitoring Documents	CAP Assessment Date	Assessment Location	Total # Survey Findings	Total # Open Findings	Total # Findings Closed
1	3/29/22	5/5/22	Off-site	10	8	2
2	9/22/22	9/30/22	Off-site	8	4	4
3	2/23/23	4/10/23	Off-site	4	2	2

II. Physical Health Assessment Summary

All physical health findings are closed.

III. Mental Health Assessment Summary

The CAP closure files revealed sufficient evidence to determine that two of the four mental health findings were corrected. Two mental health findings will remain open.

Finding	Closed	Open: Evaluation of records indicated an acceptable level of compliance was not met	Open: No episodes were available for review	Open: Institutional monitoring was inadequate	Open: Institutional monitoring indicated compliance was not met
<p><u>USE OF FORCE</u></p> <p>MH-2: In 1 of 4 applicable records (5 reviewed), mental health staff did not interview the inmate by the next working day to evaluate level of care.</p>	X				
<p><u>OUTPATIENT MH SERVICES</u></p> <p>MH-3: In 6 of 16 records reviewed, the individualized service plan (ISP) was not signed by all members of the treatment team.</p>	X				
<p><u>OUTPATIENT PSYCHOTROPIC MEDICATION PRACTICES</u></p> <p>MH-5: In 1 of 1 applicable record, the inmate did not sign a refusal after 3 consecutive or 5 medication refusals in one month.</p>			X		

Finding	Closed	Open: Evaluation of records indicated an acceptable level of compliance was not met	Open: No episodes were available for review	Open: Institutional monitoring was inadequate	Open: Institutional monitoring indicated compliance was not met
MH-7: In 13 records, the follow-up sessions did not include all the required components.		X			

IV. Conclusion

Until appropriate corrective actions are undertaken by LCCF staff and the results of those corrections reviewed by the CMA, this CAP will remain open. As some of the necessary steps to correct findings require further institutional monitoring, closure may take as long as three months. Follow-up assessment by the CMA will most likely take place through an off-site evaluation.