

**FIFTH ON-SITE CORRECTIVE ACTION PLAN
ASSESSMENT**

of

LOWELL CORRECTIONAL INSTITUTION

for the

Physical and Mental Health Survey
Conducted September 11-13, 2018

CMA STAFF

Jane Holmes-Cain, LCSW
Lynne Babchuck, LCSW

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I. Overview

On September 11-13, 2018, the Correctional Medical Authority (CMA) conducted an on-site physical and mental health survey of Lowell Correctional Institution (LOWCI). The survey report was distributed on October 15, 2018. In November 2018, LOWCI submitted and the CMA approved, the institutional corrective action plan (CAP) which outlined the efforts to be undertaken to address the findings of the LOWCI survey. These efforts included in-service training, physical plant improvements, and the monitoring of applicable medical records for a period of no less than ninety days.

Summary of CAP Assessments for Lowell Correctional Institution

CAP #	Request Date for Monitoring Documents	CAP Assessment Date	Assessment Location	Total # Survey Findings	Total # Open Findings	Total # Findings Closed
1	5/25/19	6/13/19-6/14/19	On-site	70	28	42
2	10/25/19	11/21/19-11/22/19	On-site	29	20	9
3	8/26/20	9/17/20	Off-site	20	12	8
4	3/23/21	4/21/21	On-site	12	8	4
5	9/8/21	9/29/21	Off-site	8	3	5

II. Physical Health Assessment Summary

A. Main Unit

The CAP closure files revealed sufficient evidence to determine that 3 of the 3 physical health findings were corrected. All physical health findings are closed.

Finding	Closed	Open: Evaluation of records indicated an acceptable level of compliance was not met	Open: No episodes were available for review	Open: Institutional monitoring was inadequate	Open: Institutional monitoring indicated compliance was not met
<u>Consultations</u> PH-24: In 3 of 9 applicable records, the consultant's treatment recommendations were not incorporated into the treatment plan.	X				
<u>Consultations</u> PH-25: In 4 of 9 applicable records, additional diagnostic and/or laboratory testing was not completed as required.	X				
<u>Additional Administrative Issues</u> CF-1: Inmates with a history of malignancy were not enrolled in the oncology clinic.	X				

B. Annex

All physical health findings closed on the third assessment.

III. Mental Health Assessment Summary

A. Main Unit

The CAP closure files revealed sufficient evidence to determine that 0 of the 2 mental health findings were corrected. Two mental health findings will remain open.

Finding	Closed	Open: Evaluation of records indicated an acceptable level of compliance was not met	Open: No episodes were available for review	Open: Institutional monitoring was inadequate	Open: Institutional monitoring indicated compliance was not met
<u>Outpatient Psychotropic Medication Practices</u> MH-5: In 7 of 15 applicable records, the inmate did not receive medications as prescribed.		X			
<u>Mental Health Systems</u> MH-8: There was no evidence that mental health group therapy was being provided as required.					X

B. Annex Unit

The CAP closure files revealed sufficient evidence to determine that 2 of the 3 mental health findings were corrected. One mental health finding will remain open.

Finding	Closed	Open: Evaluation of records indicated an acceptable level of compliance was not met	Open: No episodes were available for review	Open: Institutional monitoring was inadequate	Open: Institutional monitoring indicated compliance was not met
<u>Self-harm Observation Status</u> MH-2: In 4 records, the "Infirmiry Admission Nursing Evaluation" (DC4-732) was not completed as required.		X			

Finding	Closed	Open: Evaluation of records indicated an acceptable level of compliance was not met	Open: No episodes were available for review	Open: Institutional monitoring was inadequate	Open: Institutional monitoring indicated compliance was not met
<u>Outpatient Mental Health Services</u> MH-8: In 9 records, the Individualized Service Plan (ISP) was not signed by all relevant parties.	X				
<u>Psychotropic Medication Practices</u> MH-17: In 1 record, an order for medications without inmate consent was not documented as an ETO.	X				

IV. Conclusion

Physical Health-Main Unit

The following physical health findings will close: PH-24, PH-25, & CF-1. All other physical health findings will remain open.

Physical Health-Annex

All physical health findings are closed.

Mental Health-Main Unit

All remaining mental health findings will remain open.

Mental Health-Annex

The following mental health findings will close: MH-8 & MH-17. All other remaining mental health findings will remain open.

Until appropriate corrective actions are undertaken by LOWCI staff and the results of those corrections reviewed by the CMA, this CAP will remain open. As some of the necessary steps to correct findings require further institutional monitoring, closure may take as long as three months. Follow-up assessment by the CMA will most likely take place through an off-site evaluation.