

**SECOND OFF-SITE CORRECTIVE ACTION PLAN
ASSESSMENT**

of

POLK CORRECTIONAL INSTITUTION

for the

Physical and Mental Health Survey
Conducted March 19-21, 2019

CMA STAFF

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I. Overview

On March 19-21, 2019, the Correctional Medical Authority (CMA) conducted an on-site physical and mental health survey of Polk Correctional Institution (POLCI). The survey report was distributed on April 18, 2019. In May 2019, POLCI submitted and the CMA approved, the institutional corrective action plan (CAP) which outlined the efforts to be undertaken to address the findings of the POLCI survey. These efforts included in-service training, physical plant improvements, and the monitoring of applicable medical records for a period of no less than ninety days.

Items II and III below describe the outcome of the CMA's evaluation of the institution's efforts to address the survey findings.

Summary of CAP Assessments for Polk Correctional Institution

CAP #	Request Date for Monitoring Documents	CAP Assessment Date	Assessment Location	Total # Survey Findings	Total # Open Findings	Total # Findings Closed
1	11/19/19	12/4/19	On-site	18	2	16
2	3/11/20	3/30/20	Off-site	2	0	2

II. Physical Health Assessment Summary

All physical health findings are closed.

III. Mental Health Assessment Summary

The CAP closure files revealed sufficient evidence to determine that 2 of the 2 mental health findings were corrected. All mental health findings are closed.

Finding	Closed	Open: Evaluation of records indicated an acceptable level of compliance was not met	Open: No episodes were available for review	Open: Institutional monitoring was inadequate	Open: Institutional monitoring indicated compliance was not met
<u>Self-harm Observation Status</u> MH-4: In 1 record, there was no evidence the clinician conducted a face-to-face evaluation prior to discharge.	X				
<u>Outpatient Mental Health</u> MH-6: In 3 of 10 applicable records, 11 reviewed, there was no evidence the record was reviewed within 24 hours of arrival.	X				

IV. Conclusion

All findings as a result of the March 2019 survey are closed and no further action is required on this CAP. The CMA appreciates the efforts to improve services and documentation at this institution and continues to encourage ongoing quality improvement activities to ensure that the proper provision of health care services is maintained.