

**SEVENTH ON-SITE CORRECTIVE ACTION PLAN
ASSESSMENT**

of

SANTA ROSA CORRECTIONAL INSTITUTION

for the

Physical and Mental Health Survey
Conducted November 8-10, 2016

CMA STAFF

Monica Dodrill, RN

CAP Assessment Distributed on October 10, 2019

CAP Assessment of Santa Rosa Correctional Institution

I. Overview

On November 8-10, 2016, the Correctional Medical Authority (CMA) conducted an on-site physical and mental health survey of Santa Rosa Correctional Institution (SARCI). The survey report was distributed on December 5, 2016. In January 2017, SARCI submitted and the CMA approved, the institutional corrective action plan (CAP) which outlined the efforts to be undertaken to address the findings of the November 2016 survey. These efforts included in-service training, physical plant improvements, and the monitoring of applicable medical records for a period of no less than 90 days.

On June 13, 2017, CMA staff requested access to monitoring documents to assist in determining if an on-site or off-site assessment should be conducted. Based on the documents provided, CMA staff conducted an on-site CAP assessment on June 28, 2017 to evaluate the effectiveness of corrective actions taken by institutional staff. The CAP closure files revealed sufficient evidence to determine that 8 of 8 physical health findings and 12 of 28 mental health findings were corrected at the Main Unit. Additionally, 12 of 13 physical health findings and 11 of 24 mental health findings were corrected at the Annex.

On September 14, 2017, CMA staff requested access to monitoring documents to assist in determining if an on-site or off-site assessment should be conducted. Based on the documents provided, CMA staff conducted an on-site CAP assessment on September 22, 2017 to evaluate the effectiveness of corrective actions taken by institutional staff. The CAP closure files revealed sufficient evidence to determine that 3 of 16 mental health findings were corrected on the Main Unit. CF-1 was added for in-service training, monitoring, and corrective action. Additionally, 1 of 1 physical health findings and 8 of 13 mental health findings were corrected at the Annex.

On January 3, 2018, CMA staff requested access to monitoring documents to assist in determining if an on-site or off-site assessment should be conducted. Based on the documents provided, CMA staff conducted an on-site CAP assessment on February 16, 2018 to evaluate the effectiveness of corrective actions taken by institutional staff. The CAP closure files revealed sufficient evidence to determine that 1 of 14 mental health findings were corrected on the Main Unit and 0 of 5 mental health findings were corrected at the Annex. Additionally, CF-2 was added for in-service education, monitoring, and corrective action.

On September 4, 2018, CMA staff requested access to monitoring documents to assist in determining if an on-site or off-site assessment should be conducted. Based on the documents provided, CMA staff conducted an on-site CAP assessment on September 27-28, 2018 to evaluate the effectiveness of corrective actions taken by institutional staff. The CAP closure files revealed sufficient evidence to determine that 7 of 13 mental health findings were corrected on the Main Unit and 4 of 6 mental health findings were corrected at the Annex.

On November 9, 2018, CMA staff requested access to monitoring documents to assist in determining if an on-site or off-site assessment should be conducted. Based on the documents provided, CMA staff conducted an on-site CAP assessment on December 18, 2018 to evaluate the effectiveness of corrective actions taken by institutional staff. The CAP closure files revealed sufficient evidence to determine that 4 of 6 mental health

findings were corrected on the Main Unit and 2 of 2 mental health findings were corrected at the Annex.

On April 15, 2019, CMA staff requested access to monitoring documents to assist in determining if an on-site or off-site assessment should be conducted. Based on the documents provided, CMA staff conducted an off-site CAP assessment to evaluate the effectiveness of corrective actions taken by institutional staff. The CAP closure files revealed sufficient evidence to determine that 1 of 2 mental health findings at the Main Unit were corrected.

On September 15, 2019, CMA staff requested access to monitoring documents to assist in determining if an on-site or off-site assessment should be conducted. Based on the documents provided, CMA staff conducted an on-site CAP assessment to evaluate the effectiveness of corrective actions taken by institutional staff. The Items II and III below describe the outcome of the CMA's evaluation of the institution's efforts to address the survey findings.

II. Physical Health Assessment Summary

A. Main Unit

All physical health findings are closed.

B. Annex

All physical health findings are closed.

III. Mental Health Assessment Summary

A. Main Unit

The CAP closure files revealed sufficient evidence to determine that 1 of 1 mental health findings were corrected. All mental health findings are closed.

Finding	CAP Evaluation Outcome
<u>OUTPATIENT MENTAL HEALTH SERVICES</u> A comprehensive review of 18 outpatient records revealed the following deficiencies: MH-22: In 8 records, there was no documentation that the inmate received the services listed in the ISP.	MH-22 CLOSED Adequate evidence of in-service training and documentation of correction were provided to close MH-22.

B. Annex Unit

All mental health findings are closed.

IV. Conclusion**Physical Health Main Unit**

All physical health findings are closed

Physical Health Annex

All physical health findings are closed.

Mental Health Main Unit

MH-22 will remain open and all other mental health portions are closed.

Mental Health Annex

All mental health portions are closed.

All findings as a result of the November 2016 survey are closed and no further action is required on this CAP. The CMA appreciates the efforts to improve services and documentation at this institution and continues to encourage ongoing quality improvement activities to ensure that the proper provision of health care services is maintained.