

**SECOND CORRECTIVE ACTION PLAN
ASSESSMENT**

of

SANTA ROSA CORRECTIONAL INSTITUTION - ANNEX

for the

Physical and Mental Health Survey
Conducted March 7-9, 2023

CMA STAFF

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I. Overview

On March 7-9, 2023, the Correctional Medical Authority (CMA) conducted an on-site physical and mental health survey of Santa Rosa Correctional Institution Annex (SARAN). The survey report was distributed on April 17, 2023. In May 2023, Santa Rosa CI Annex submitted, and the CMA approved, the institutional corrective action plan (CAP) which outlined the efforts to be undertaken to address the findings of the Santa Rosa CI Annex survey. These efforts included in-service training, physical plant improvements, and the monitoring of applicable medical records for a period of no less than ninety days. Items II and III below describe the outcome of the CMA's evaluation of the institution's efforts to address the survey findings.

Summary of CAP Assessments for Santa Rosa Annex

CAP #	CAP Assessment Date	Total # Survey Findings	Total # Open Findings	Total # Findings Closed
1	11/10/23	30	4	26
2	2/28/2024	4	1	3

II. Physical Health Assessment Summary

The CAP closure files revealed sufficient evidence to determine that 2 of the 3 physical health findings were corrected. One physical health finding remains open.

Finding	Closed	Open: Evaluation of records indicated an acceptable level of compliance was not met	Open: No episodes were available for review	Open: Institutional monitoring was inadequate	Open: Institutional monitoring indicated compliance was not met
Consultations Screen 3: The consultation is completed in a timely manner as dictated by the clinical needs of the inmate	X				

Finding	Closed	Open: Evaluation of records indicated an acceptable level of compliance was not met	Open: No episodes were available for review	Open: Institutional monitoring was inadequate	Open: Institutional monitoring indicated compliance was not met
<u>Periodic Screenings</u> Screen 2: All components of the screening are completed and documented as required	X				
Screen 3: All diagnostic tests are completed prior to the periodic screening encounter		X			

III. Mental Health Assessment Summary

A. Main Unit

The CAP closure files revealed sufficient evidence to determine that the one remaining mental health finding was corrected. All mental health findings are corrected.

Finding	Closed	Open: Evaluation of records indicated an acceptable level of compliance was not met	Open: No episodes were available for review	Open: Institutional monitoring was inadequate	Open: Institutional monitoring indicated compliance was not met
<u>Outpatient Mental Health Services</u> Screen 17: The ISP is reviewed and revised at least every 180 days	X				

IV. Conclusion

Until appropriate corrective actions are undertaken by Santa Rosa CI Annex staff and the results of those corrections reviewed by the CMA, this CAP will remain open. As some of the necessary steps to correct findings require further institutional monitoring, closure may take as long as three months. Follow-up assessment by the CMA will most likely take place through an off-site evaluation.

