

**THIRD CORRECTIVE ACTION PLAN  
ASSESSMENT**

of

**SANTA ROSA CORRECTIONAL INSTITUTION - ANNEX**

for the

Physical and Mental Health Survey  
Conducted March 7-9, 2023

**CMA STAFF**

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Distributed on July 8, 2024

**I. Overview**

On March 7-9, 2023, the Correctional Medical Authority (CMA) conducted an on-site physical and mental health survey of Santa Rosa Correctional Institution Annex (SARAN). The survey report was distributed on April 17, 2023. In May 2023, Santa Rosa CI Annex submitted, and the CMA approved, the institutional corrective action plan (CAP) which outlined the efforts to be undertaken to address the findings of the Santa Rosa CI Annex survey. These efforts included in-service training, physical plant improvements, and the monitoring of applicable medical records for a period of no less than ninety days. Items II and III below describe the outcome of the CMA's evaluation of the institution's efforts to address the survey findings.

**Summary of CAP Assessments for Santa Rosa Annex**

CAP #	CAP Assessment Date	Total # Survey Findings	Total # Open Findings	Total # Findings Closed
1	11/10/23	30	4	26
2	2/28/2024	4	1	3
3	6/07/2024	1	0	1

**II. Physical Health Assessment Summary**

The CAP closure files revealed sufficient evidence to determine that remaining physical health finding was corrected. All physical health findings are closed.

Finding	Closed	Open: Evaluation of records indicated an acceptable level of compliance was not met	Open: No episodes were available for review	Open: Institutional monitoring was inadequate	Open: Institutional monitoring indicated compliance was not met
<b>Periodic Screenings</b> Screen 3: All diagnostic tests are completed prior to the periodic screening encounter	X				

### **III. Mental Health Assessment Summary**

All findings remain closed.

### **IV. Conclusion**

All findings as a result of the March 2023 survey are closed and no further action is required on this CAP. The CMA appreciates the efforts to improve services and documentation at this institution and continues to encourage ongoing quality improvement activities to ensure that the proper provision of health care services is maintained.