
SUWANNEE ANNEX CORRECTIONAL INSTITUTION



January 10-12, 2023

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CMA STAFF

Kathy McLaughlin, BS

Monica Dodrill, RN

CLINICAL SURVEYORS

Harold Landa, MD

Ashok Manocha, DMD

Erik Gooch, DO

Joanne Pintacuda, APRN

Leeann Barfield, APRN

Linda Skalsky, APRN

Sharon Mayfield, RN

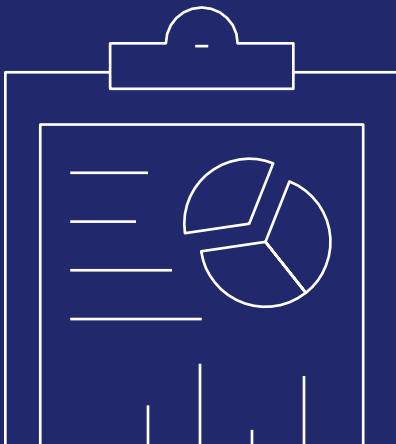
Sue Brown, RN

Jeannette Grice, BSN

Marty Swanbrow-Becker, PhD

Denise Sanfilippo, LCSW

Dynitia Brimm, LCSW



BACKGROUND AND SCOPE

The Correctional Medical Authority (CMA) is required, per § 945.6031(2) F.S., to conduct triennial surveys of the physical and mental health care systems at each correctional institution and report survey findings to the Secretary of Corrections. The process is designed to assess whether inmates in Florida Department of Corrections (FDC) institutions can access medical, dental, and mental health care and to evaluate the clinical adequacy of the resulting care.

The goals of institutional surveys are:

- 1) to determine if the physical, dental, and mental health care provided to inmates in all state public and privately operated correctional institutions is consistent with state and federal law, conforms to standards developed by the CMA, is consistent with the standards of care generally accepted in the professional health care community at large.
- 2) to promote ongoing improvement in the correctional system of health services.
- 3) to assist the Department in identifying mechanisms to provide cost effective health care to inmates.

To achieve these goals, specific criteria designed to evaluate inmate care and treatment in terms of effectiveness and fulfillment of statutory responsibility are measured. They include determining whether:

- Inmates have adequate access to medical and dental health screening and evaluation and to ongoing preventative and primary health care.
- Inmates receive adequate and appropriate mental health screening, evaluation, and classification.
- Inmates receive complete and timely orientation on how to access physical, dental, and mental health services.
- Inmates have adequate access to medical and dental treatment that results in the remission of symptoms or in improved functioning.
- Inmates receive adequate mental health treatment that results in or is consistent with the remission of symptoms, improved functioning relative to their current environment and reintegration into the general prison population as appropriate.
- Inmates receive and benefit from safe and effective medication, laboratory, radiology, and dental practices.
- Inmates have access to timely and appropriate referral and consultation services.
- Psychotropic medication practices are safe and effective.
- Inmates are free from the inappropriate use of restrictive control procedures.
- Sufficient documentation exists to provide a clear picture of the inmate's care and treatment.
- There are enough qualified staff to provide adequate treatment.

METHODOLOGY

During a multi-day site visit, the CMA employs a standardized monitoring process to evaluate the quality of physical and mental health services provided at this institution, identify significant deficiencies in care and treatment, and assess institutional compliance with FDC's policies and procedures.

This process consists of:

- Information gathering prior to monitoring visit (Pre-survey Questionnaire).
- On-site review of clinical records and administrative documentation.
- Institutional tour.
- Inmate and staff interviews.

The CMA contracts with a variety of licensed community and public health care practitioners including physicians, psychiatrists, dentists, nurses, psychologists, and other licensed mental health professionals to conduct these surveys. CMA surveyors utilize uniform survey tools, based on FDC's Office of Health Services (OHS) policies and community health care standards, to evaluate specific areas of physical and mental health care service delivery. These tools assess compliance with commonly accepted policies and practices of medical record documentation.

The CMA employs a record selection methodology using the Raosoft Calculation method. This method ensures a 15 percent margin of error and an 80 percent confidence level. Records are selected in accordance with the size of the clinic or assessment area being evaluated.

Compliance scores are calculated by dividing the sum of all yes responses by the sum of all yes and no responses (***rating achieved/possible rating***) and are expressed as a percentage. Institutional tours and systems evaluations are scored as compliant or non-compliant. Individual screens with a compliance percentage below 80%, as well as tour and systems requirements deemed non-compliant will require completion of the CMA's corrective action process (CAP) and are highlighted in red.

INSTITUTIONAL DEMOGRAPHICS AND STAFFING

Suwannee Correctional Institution consists of a Main Unit, Work Camp, Road Prison, Transitional House, and a Community Release Center. ¹ Suwannee Annex (SUWAN) houses male inmates of minimum, medium, and close custody levels. The facility grades are medical (M) grades 1, 2, and 3, and psychology (S) grades 1 and 2.

Institutional Potential and Actual Workload

Main Unit Capacity	1040	Current Main Unit Census	1060
Satellite Unit(s) Capacity	N/A	Current Satellite(s) Census	N/A
Total Capacity	1040	Total Current Census	1060

Inmates Assigned to Medical and Mental Health Grades

Medical Grade (M-Grade)	1	2	3	4	5	Impaired
	454	427	153	105	0	105
Mental Health Grade (S-Grade)	Mental Health Outpatient			Mental Health Inpatient		
	1	2	3	4	5	Impaired
	576	72	397	105	0	N/A

Inmates Assigned to Special Housing Status

Confinement/ Close Management	DC	AC	PM	CM3	CM2	CM1
	120	53	N/A	N/A	N/A	N/A

¹ Demographic and staffing information were obtained from the Pre-survey Questionnaire.

Medical Unit Staffing

Position	Number of Positions	Number of Vacancies
Physician	1	0
Clinical Associate	1	0
Registered Nurse	5.2	1.5
Licensed Practical Nurse	9.3	6.4
DON/Nurse Manager	1	0
Dentist	1	.25
Dental Assistant	1	0
Dental Hygienist	2	0

Mental Health Unit Staffing

Position	Number of Positions	Number of Vacancies
Psychiatrist	1	1
Psychiatric APRN/PA	1	0
Psychologists	1	0
Mental Health Professional	3	.20
Aftercare Coordinator	1	1
Activity Technician	0	0
Mental Health RN	1	0
Mental Health LPN	0	0

SUWANNEE ANNEX CORRECTIONAL INSTITUTIONAL SURVEY SUMMARY

The CMA conducted a thorough review of the medical, mental health, and dental systems at SUWAN on January 10-12, 2023. Record reviews evaluating the provision and documentation of care were also conducted. Additionally, a review of administrative processes and a tour of the physical plant were conducted.

Detailed below are results from the institutional survey of Suwannee Annex Correctional Institution. The results are presented by assessment area and for each screen of the monitoring tool. Compliance percentages are provided for each screen.

Survey Findings Summary			
Physical Health Survey Findings	27	Mental Health Survey Findings	14

Physical Health Survey Findings

Chronic Illness Clinics

Cardiovascular Chronic Illness Clinic

	SCREEN QUESTION	COMPLIANCE SCORE				Compliance Percentage
		Total Applicable Records	YES	NO	N/A	
1	The diagnosis is appropriate for inclusion in the cardiovascular clinic	18	18	0	0	100%
2	There is evidence of an appropriate physical examination	18	18	0	0	100%
3	At each visit there is an evaluation of the control of the disease and the status of the patient	18	18	0	0	100%
4	Annual laboratory work is completed as required	18	18	0	0	100%
5	Abnormal labs are reviewed and addressed in a timely manner	18	18	0	0	100%
6	There is evidence that patients with cardiovascular disease are prescribed low-dose aspirin if indicated	8	8	0	10	100%
7	Medications appropriate for the diagnosis are prescribed	18	18	0	0	100%
8	Patients are referred to a specialist for more in-depth treatment as indicated	3	3	0	15	100%

Endocrine Clinic Chronic Illness Clinic

SCREEN QUESTION	COMPLIANCE SCORE				Compliance Percentage
	Total Applicable Records	YES	NO	N/A	
1 The diagnosis is appropriate for inclusion in the endocrine clinic	16	16	0	0	100%
2 There is evidence of an appropriate physical examination	16	16	0	0	100%
3 At each visit there is an evaluation of the control of the disease and the status of the patient	16	16	0	0	100%
4 Annual laboratory work is completed as required	16	16	0	0	100%
5 Abnormal labs are reviewed and addressed in a timely manner	15	15	0	1	100%
6 A dilated fundoscopic examination is completed yearly for diabetic inmates	10	7	3	6	70%
7 Inmates with HgbA1c over 8% are seen at least every 90 days	10	10	0	6	100%
8 Inmates with vascular disease or risk factors for vascular disease are prescribed aspirin	15	15	0	1	100%
9 Inmates with diabetes who are hypertensive or show evidence of (micro)albuminuria are placed on ACE/ARB therapy	8	8	0	8	100%
10 Medications appropriate for the diagnosis are prescribed	16	16	0	0	100%
11 Patients are receiving insulin as prescribed	8	3	5	8	38%
12 Patients are referred to a specialist for more in-depth treatment as indicated	7	7	0	9	100%

Endocrine Clinic Discussion:

Screen 11: In all five records, there were multiple blanks on the Medication Administration Record (MAR), without a reason or refusal marked, indicating the inmate may not have been offered insulin on those days.

Gastrointestinal Chronic Illness Clinic

		COMPLIANCE SCORE				
SCREEN QUESTION		Total Applicable Records	YES	NO	N/A	Compliance Percentage
1	The diagnosis is appropriate for inclusion in the gastrointestinal clinic	17	17	0	0	100%
2	There is evidence of an appropriate physical examination	17	17	0	0	100%
3	At each visit there is an evaluation of the control of the disease and the status of the patient	16	16	0	1	100%
4	Annual laboratory work is completed as required	17	17	0	0	100%
5	Abnormal labs are reviewed and addressed in a timely manner	12	12	0	5	100%
6	Medications appropriate for the diagnosis are prescribed	5	5	0	12	100%
7	There is evidence of hepatitis A and/or B vaccination for inmates with hepatitis C and no evidence of past infection	15	14	1	2	93%
8	Abdominal ultrasounds are completed at the required intervals	15	15	0	2	100%
9	Inmates with chronic hepatitis will have liver function tests at the required intervals	13	13	0	4	100%
10	Patients are referred to a specialist for more in-depth treatment as indicated	2	2	0	15	100%
11	Inmates are evaluated and staged appropriately to determine treatment needs	2	2	0	15	100%
12	Hepatitis C treatment is started within the appropriate time frame	2	2	0	15	100%
13	Laboratory testing for inmates undergoing hepatitis treatment is completed at the required intervals	0	0	0	17	N/A
14	Inmates undergoing hepatitis C treatment receive medications as prescribed	1	1	0	16	100%
15	Labs are completed at 12 weeks following the completion of treatment to assess treatment failure	0	0	0	17	N/A

General Chronic Illness Clinic

SCREEN QUESTION		COMPLIANCE SCORE				Compliance Percentage
		Total Applicable Record	YES	NO	N/A	
1	The inmate is enrolled in all clinics appropriate for their diagnosis	16	16	0	0	100%
2	Appropriate patient education is provided	16	16	0	0	100%
3	The inmate is seen at intervals required for their M-grade or at intervals specified by the clinician	16	9	7	0	56%
4	There is evidence that labs are available prior to the clinic visit and are reviewed by the clinician	16	16	0	0	100%

General Chronic Illness Clinic Discussion:

Screen 3: In the affected records, inmates were seen at intervals greater than was required by the assigned medical grade.

Immunity Chronic Illness Clinic

		COMPLIANCE SCORE				
	SCREEN QUESTION	Total Applicable Records	YES	NO	N/A	Compliance Percentage
1	There is a diagnosis of Human Immunodeficiency Virus (HIV)	11	11	0	0	100%
2	There is evidence of an appropriate physical examination.	11	7	4	0	64%
3	Laboratory work (CD4, HIV viral load, and CMP) is completed at the required intervals	11	8	3	0	73%
4	Virologic failure is addressed with resistance testing, review of medication adherence and the appropriate change in medication regimens	2	2	0	9	100%
5	A CBC is collected annually	11	11	0	0	100%
6	Abnormal labs are reviewed and addressed in a timely manner	11	11	0	0	100%
7	Medications appropriate for the diagnosis are prescribed	10	10	0	1	100%
8	The inmate receives HIV medication(s) as prescribed	10	9	1	1	90%
9	At each visit there is an evaluation of the control of the disease and the status of the patient	2	2	0	9	100%
10	There is evidence of hepatitis B vaccination for inmates with no evidence of past infection	11	11	0	0	100%
11	Pregnant patients are provided counseling and education regarding benefits and risks of anti-retroviral therapy. Care is coordinated between the clinician and the treating obstetrician	0	0	0	11	N/A
12	Patients are referred to a specialist for more in-depth treatment as indicated	0	0	0	11	N/A

Immunity Chronic Illness Clinic Discussion:

Screen 2: In these records, the assessments conducted by the Department of Health (DOH) provider were blank or lined through. The on-site clinician noted the DOH visit; however, there was no further documentation or assessment to address the blanks which included examinations of the mouth, skin, abdomen, and/or peri-rectal area.

Miscellaneous Chronic Illness Clinic

SCREEN QUESTION	COMPLIANCE SCORE				Compliance Percentage
	Total Applicable Records	YES	NO	N/A	
1 The diagnosis is appropriate for inclusion in the miscellaneous clinic	16	16	0	0	100%
2 There is evidence of an appropriate physical examination	16	16	0	0	100%
3 Medications appropriate for the diagnosis are prescribed	15	15	0	1	100%
4 At each visit there is an evaluation of the control of the disease and the status of the patient	16	15	1	0	94%
5 Abnormal labs are reviewed and addressed in a timely manner	16	16	0	0	100%
6 Patients are referred to a specialist for more in-depth treatment as indicated	7	7	0	9	100%

Neurology Chronic Illness Clinic

SCREEN QUESTION	COMPLIANCE SCORE				Compliance Percentage
	Total Applicable Records	YES	NO	N/A	
1 The diagnosis is appropriate for inclusion in the neurology clinic	15	15	0	0	100%
2 There is evidence of an appropriate physical examination	15	15	0	0	100%
3 Annual laboratory work is completed as required	15	15	0	0	100%
4 Abnormal labs are reviewed and addressed in a timely manner	13	13	0	2	100%
5 At each visit there is an evaluation of the control of the disease and the status of the patient	15	15	0	0	100%
6 Medications appropriate for the diagnosis are prescribed	13	13	0	2	100%
7 Patients are referred to a specialist for more in-depth treatment as indicated	3	3	0	12	100%

Oncology Chronic Illness Clinic

SCREEN QUESTION	COMPLIANCE SCORE				Compliance Percentage
	Total Applicable Records	YES	NO	N/A	
1 The diagnosis is appropriate for inclusion in the oncology clinic	14	14	0	0	100%
2 There is evidence of an appropriate physical examination	14	14	0	0	100%
3 Appropriate labs, diagnostics and marker studies are performed as clinically appropriate	14	14	0	0	100%
4 Annual laboratory work is completed as required	14	14	0	0	100%
5 Abnormal labs are reviewed and addressed in a timely manner	8	8	0	6	100%
6 At each visit there is an evaluation of the control of the disease and the status of the patient	14	14	0	0	100%
7 Medications appropriate for the diagnosis are prescribed	5	5	0	9	100%
8 Oncological treatments are received as prescribed	13	13	0	1	100%
9 Patients are referred to a specialist for more in-depth treatment as indicated	5	5	0	9	100%

Respiratory Chronic Illness Clinic

	SCREEN QUESTION	COMPLIANCE SCORE				Compliance Percentage
		Total Applicable Records	YES	NO	N/A	
1	The diagnosis is appropriate for inclusion in the respiratory clinic	17	17	0	0	100%
2	Inmates with moderate to severe reactive airway disease are started on anti-inflammatory medication	11	11	0	6	100%
3	Medications appropriate for the diagnosis are prescribed	17	16	1	0	94%
4	A peak flow reading is recorded at each visit	17	13	4	0	76%
5	There is evidence of an appropriate physical examination	17	17	0	0	100%
6	At each visit there is an evaluation of the control of the disease and the status of the patient	17	15	2	0	88%
7	Patients are referred to a specialist for more in-depth treatment as indicated	0	0	0	17	N/A

Tuberculosis Chronic Illness Clinic

SCREEN QUESTION		COMPLIANCE SCORE				Compliance Percentage
		Total Applicable Records	YES	NO	N/A	
1	The inmate has a diagnosis of tuberculosis or latent tuberculosis infection	5	5	0	0	100%
2	Baseline information is complete	5	5	0	0	100%
3	There is evidence of initial and ongoing education	5	5	0	0	100%
4	There is evidence of monthly nursing follow-up	5	4	1	0	80%
5	Laboratory testing results are available prior to the clinic visit and any abnormalities reviewed in a timely manner	5	4	1	0	80%
6	AST and ALT testing are repeated as ordered by the clinician	5	5	0	0	100%
7	CMP testing is completed monthly for inmates with HIV, chronic hepatitis or are pregnant	0	0	0	5	N/A
8	Inmates with adverse reaction to LTBI therapy are referred to the clinician and medications are discontinued	2	2	0	3	100%
9	The appropriate medication regimen is prescribed	5	5	0	0	100%
10	The inmate receives TB medications as prescribed	5	5	0	0	100%
11	The Inmate is seen by the clinician at the completion of therapy	0	0	0	5	N/A
12	Documentation of the CIC visit includes an appropriate physical examination	5	5	0	0	100%
13	Patients are referred to a specialist for more in-depth treatment as indicated	0	0	0	5	N/A

Episodic Care

Emergency Services

	SCREEN QUESTION	COMPLIANCE SCORE				Compliance Percentage
		Total Applicable Records	YES	NO	N/A	
1	Potentially life-threatening conditions are responded to immediately	17	17	0	1	100%
2	The emergency assessment is appropriate for the presenting complaint/condition and completed in its entirety	18	17	1	0	94%
3	Vital signs including weight are documented	18	15	3	0	83%
4	There is evidence of appropriate and applicable patient education	18	17	1	0	94%
5	Findings requiring clinician notification are made in accordance with protocols	17	17	0	1	100%
6	Follow-up visits are completed timely	15	15	0	3	100%
7	Clinician's orders from the follow-up visit are completed as required	15	15	0	3	100%
8	Appropriate documentation is completed for patient's requiring transport to a local emergency room	3	3	0	15	100%
9	Inmates returning from an outside hospital are evaluated by the clinician within one business day	2	1	1	16	50%

Outpatient Infirmary Care

	SCREEN QUESTION	COMPLIANCE SCORE				Compliance Percentage
		Total Applicable Records	YES	NO	N/A	
1	Clinician's orders specify whether the inmate is admitted into the infirmary or placed on observation status. Admission status is appropriate for the presenting complaint/condition	5	4	1	0	80%
2	All orders are received and implemented	5	5	0	0	100%
3	The inmate is evaluated within one hour of being placed on observation status	5	5	0	0	100%
4	Patient evaluations are documented at least once every eight hours	5	3	2	0	60%
5	Weekend and holiday clinician phone rounds are completed and documented as required	1	1	0	4	100%
6	The inmate is discharged within 23 hours or admitted to the infirmary for continued care	5	5	0	0	100%
7	A discharge note containing all of the required information is completed as required	4	3	1	1	75%

Inpatient Infirmary Care

SCREEN QUESTION	COMPLIANCE SCORE				Compliance Percentage
	Total Applicable Records	YES	NO	N/A	
1 Clinician's orders specify whether the inmate is admitted into the infirmary or placed in observation status. Admission status is appropriate for the presenting complaint/condition	11	11	0	0	100%
2 All orders are received and implemented	11	8	3	0	73%
3 A thorough nursing assessment is completed within two hours of admission	11	8	3	0	73%
4 A Morse Fall Scale is completed at the required intervals	11	8	3	0	73%
5 Nursing assessments are completed at the required intervals	11	11	0	0	100%
6 Clinician rounds are completed and documented as required	11	8	3	0	73%
7 Weekend and holiday clinician phone rounds are completed and documented as required	11	8	3	0	73%
8 A discharge note containing all of the required information is completed as required	7	6	1	4	86%
9 A discharge summary is completed by the clinician within 72 hours of discharge	7	7	0	4	100%

Inpatient Infirmary Care Discussion:

Screen 2: In two records, wound care was not provided according to the clinician's orders. In one record, the required labs were not completed.

Sick Call Services

SCREEN QUESTION		COMPLIANCE SCORE				Compliance Percentage
		Total Applicable Records	YES	NO	N/A	
1	The sick call request is appropriately triaged based on the complaint or condition	18	15	3	0	83%
2	The inmate is assessed in the appropriate time frame	18	18	0	0	100%
3	The nursing assessment is completed in its entirety	18	17	1	0	94%
4	Complete vital signs including weight are documented	18	15	3	0	83%
5	There is evidence of applicable patient education	18	17	1	0	94%
6	Referrals to a higher level of care are made in accordance with protocols	5	5	0	13	100%
7	Follow-up visits are completed in a timely manner	6	4	2	12	67%
8	Clinician orders from the follow-up visit are completed as required	4	4	0	14	100%

Sick Call Services Discussion:

Screen 7: In two records, the inmate was referred for clinician follow-up; however, there was no evidence that this visit occurred.

Other Medical Records Review

Confinement Medical Review

	SCREEN QUESTION	COMPLIANCE SCORE				Compliance Percentage
		Total Applicable Records	YES	NO	N/A	
1	The Special Housing Health Appraisal is complete and accurate	17	16	1	0	94%
2	All medications are continued as prescribed while in the inmate is held in special housing	15	15	0	2	100%
3	The inmate is seen in chronic illness clinic as regularly scheduled	0	0	0	17	N/A
4	All emergencies are responded to within the required time frame	2	2	0	15	100%
5	The response to the emergency is appropriate	2	2	0	15	100%
6	All sick call appointments are triaged and responded to within the required time frame	11	11	0	6	100%
7	New or pending consultations progress as clinically required	0	0	0	17	N/A
8	All mental health and/or physical health inmate requests are responded to within the required time frame	5	5	0	12	100%

Consultations

SCREEN QUESTION	COMPLIANCE SCORE				Compliance Percentage
	Total Applicable Records	YES	NO	N/A	
1 Documentation of clinical information is sufficient to obtain the needed consultation	14	14	0	0	100%
2 The referral is sent to Utilization Management in a timely manner which is consistent with the clinical needs of the inmate	14	14	0	0	100%
3 The consultation is completed in a timely manner as dictated by the clinical needs of the inmate	14	6	8	0	43%
4 The consultation report is reviewed by the clinician in a timely manner	14	12	2	0	86%
5 The consultant's treatment recommendations are incorporated into the treatment plan	10	6	4	4	60%
6 All appointments for medical follow-up and/or diagnostic testing are completed as per the consultant's recommendations	7	7	0	7	100%
7 The diagnosis is recorded on the problem list	14	14	0	0	100%
8 The "alternative treatment plan" (ATP) is documented in the medical record	0	0	0	14	N/A
9 There is evidence that the ATP is implemented	0	0	0	14	N/A

Consultations Discussion:

Screen 3: In the first record, an urgent MRI took 26 days to complete. In the second record, an urgent orthopedic consult took 28 days to complete. In the third record, an urgent MRI was completed in 49 days. In the fourth record, an urgent MRI was completed in 25 days. In the fifth record, an urgent MRI was completed in 32 days. In the sixth record, an urgent oncology follow-up was not completed in the required time frame. In the seventh record, a routine consult took over 90 days. In the eighth record, an urgent excision of a squamous cell carcinoma was requested 7/23/22 but not completed until 12/28/22.

Screen 5: In the first record, the consultant recommended weight loss prior to surgery on 11/8/22, but this was not addressed with the inmate until 1/4/23. In the second record, an urgent CT was not completed within the time frame requested by the consultant. In the third record, the urologist recommended 10 days of antibiotic treatment which the inmate did not receive in its entirety. In the fourth record, there was no evidence the requested PET scan was completed.

Medical Inmate Requests

SCREEN QUESTION	COMPLIANCE SCORE				Compliance Percentage
	Total Applicable Records	YES	NO	N/A	
1 A copy of the inmate request form is present in the electronic health record	16	16	0	0	100%
2 The request is responded to within the appropriate time frame	16	16	0	0	100%
3 The response to the request is direct, addresses the stated need and is clinically appropriate	16	15	1	0	94%
4 The follow-up to the request occurs as intended	13	13	0	3	100%

Medication And Vaccination Administration

SCREEN QUESTION	COMPLIANCE SCORE				Compliance Percentage
	Total Applicable Records	YES	NO	N/A	
1 The inmate receives medications as prescribed	12	11	1	0	92%
2 The Medication Administration Record (MAR) contains accurate allergy information	12	12	0	0	100%
3 Allergy information is complete and accurate for inmates on keep-on-person (KOP) medications	12	12	0	0	100%
4 If the inmate missed medication doses (3 consecutive or 5 doses within one month), there is evidence of counseling for medication non-compliance	1	0	1	11	0%
5 There is evidence of pneumococcal vaccination or refusal	11	6	5	1	55%
6 There is evidence of influenza vaccination or refusal	12	4	8	0	33%
7 There is evidence of COVID-19 vaccination or refusal	12	10	2	0	83%

Intra-System Transfers

SCREEN QUESTION		COMPLIANCE SCORE				Compliance Percentage
		Total Applicable Records	YES	NO	N/A	
1	The health record contains a completed Health Information Arrival Transfer Summary (DC4-760A)	18	18	0	0	100%
2	The DC4-760A or a progress note indicates that the inmate's vital signs are taken	18	17	1	0	94%
3	The inmate's medications reflect continuity of care	12	12	0	6	100%
4	The medical record reflects continuity of care for inmate's pending consultations	0	0	0	18	N/A
5	For patients with a chronic illness, appointments to the specific clinic(s) took place as scheduled	0	0	0	18	N/A
6	Special passes/therapeutic diets are reviewed and continued	3	3	0	15	100%
7	A clinician reviews the health record and DC4-760A within seven (7) days of arrival	18	9	9	0	50%

Periodic Screenings

SCREEN QUESTION		COMPLIANCE SCORE				Compliance Percentage
		Total Applicable Records	YES	NO	N/A	
1	The periodic screening encounter is completed within one month of the due date	15	11	4	0	73%
2	All components of the screening are completed and documented as required	15	4	11	0	27%
3	All diagnostic tests are completed prior to the periodic screening encounter	15	4	11	0	27%
4	Referral to a clinician occurs if indicated	0	0	0	15	N/A
5	All applicable health education is provided	15	14	1	0	93%

PREA

		COMPLIANCE SCORE				
SCREEN QUESTION		Total Applicable Records	YES	NO	N/A	Compliance Percentage
1	The Alleged Sexual Battery Protocol is completed in its entirety	3	3	0	0	100%
2	If the perpetrator is known, orders will be obtained from the clinician to complete the appropriate sexually transmitted infection (STI) testing	0	0	0	3	N/A
3	There is documentation that the alleged victim was provided education on STIs	0	0	0	3	N/A
4	Prophylactic treatment and follow-up care for STIs are given as indicated	0	0	0	3	N/A
5	Pregnancy testing is scheduled at the appropriate intervals for inmates capable of becoming pregnant	0	0	0	3	N/A
6	Repeat STI testing is completed as required	0	0	0	3	N/A
7	A mental health referral is submitted following the completion of the medical screening	3	0	3	0	0%
8	The inmate is evaluated by mental health by the next working day	3	0	3	0	0%
9	The inmate receives additional mental health care if he/she asked for continued services or the services are clinically indicated	0	0	0	3	N/A

PREA Discussion:

Screen 7: In three records, the referral was completed but erroneously indicated that the evaluation was to be completed within seven days, rather than one working day as required.

Screen 8: In three records, the evaluations were not completed within the required time frame.

Dental Review

Dental Care

SCREEN QUESTION		COMPLIANCE SCORE				Compliance Percentage
		Total Applicable Records	YES	NO	N/A	
1	The dental record contains a Dental Health Questionnaire, which is complete, current, and signed by the dentist	18	17	1	0	94%
2	Allergies are documented and correspond to the information in the current Dental Health Questionnaire	18	18	0	0	100%
3	There is evidence of a regional head and neck examination completed at required intervals	18	17	1	0	94%
4	Dental appointments are completed in a timely manner	18	18	0	0	100%
5	Appropriate radiographs are taken and are of sufficient quality to aid in diagnosis and treatment	18	15	3	0	83%
6	There is evidence of accurate diagnosis based on a complete dental examination	16	14	2	2	88%
7	The treatment plan is appropriate for the diagnosis	16	14	2	2	88%
8	There is evidence of a periodontal screening and recording (PSR) and results are documented in the medical record	15	12	3	3	80%
9	Dental findings are accurately documented	15	13	2	3	87%
10	Sick call appointments are completed timely	2	2	0	16	100%
11	Follow-up appointments for sick call or other routine care are completed timely	2	2	0	16	100%
12	Consultations or specialty services are completed timely	1	1	0	17	100%
13	Consultant's treatment recommendations are incorporated into the treatment plan	1	1	0	17	100%
14	There is evidence of informed consent or refusal for extractions and/or endodontic care	11	9	2	7	82%
15	The use of dental materials including anesthetic agent are accurately documented	15	12	3	3	80%
16	Applicable patient education for dental services is provided	15	13	2	3	87%

Dental Systems

	SCREEN QUESTION	COMPLIANCE SCORE			Compliance Percentage
		YES	NO	N/A	
1	The dental program is under the direction and supervision of a licensed dentist and staff are appropriately credentialed and working within their scope of practice	1	0	0	100%
2	Appropriate personal protective equipment is available to staff and worn during treatment	1	0	0	100%
3	The autoclave is tested appropriately and an autoclave log is maintained and up to date.	1	0	0	100%
4	Sharps containers are available and properly utilized	1	0	0	100%
5	Biohazardous waste is properly disposed	1	0	0	100%
6	X-ray fixer, scrap amalgam, amalgam capsules, and radiographs are properly disposed	1	0	0	100%
7	Dental instruments and equipment are properly sterilized	1	0	0	100%
8	Prosthetic devices are appropriately disinfected between patients	1	0	0	100%
9	A perpetual medications log is available, current, complete, and verified quarterly	1	0	0	100%
10	The senior dentist checks and documents the expiration dates of emergency kit drugs on a monthly basis	1	0	0	100%
11	Dental assistants work within the guidelines established by the Board of Dentistry	1	0	0	100%
12	Dental request logs are effectively maintained	1	0	0	100%
13	Necessary equipment is available, adequate and in working order	1	0	0	100%
14	The dental clinic is clean, orderly, adequately lit and contains sufficient space to ensure patient privacy	1	0	0	100%

Mental Health Survey Findings

Self-Injury and Suicide Prevention

SCREEN QUESTION	COMPLIANCE SCORE				Compliance Percentage
	Total Applicable Records	YES	NO	N/A	
1 A thorough clinical assessment is completed prior to placement on Self-harm Observation Status (SHOS)	12	12	0	0	100%
2 The nursing evaluation is completed within 2 hours of admission	12	12	0	0	100%
3 Guidelines for SHOS management are observed	10	10	0	2	100%
4 The inmate is observed at the frequency ordered by the clinician	12	3	9	0	25%
5 Nursing evaluations are completed once per shift	12	10	2	0	83%
6 There is evidence of daily rounds by the attending clinician	12	12	0	0	100%
7 There is evidence of daily counseling provided by mental health staff	12	12	0	0	100%
8 There is evidence of a face-to-face evaluation by the clinician prior to discharge	10	8	2	2	80%
9 There is evidence of adequate post-discharge follow-up by mental health staff	10	10	0	2	100%
10 The Individualized Services Plan (ISP) is revised within 14 days of discharge	7	3	4	5	43%

Self-Injury and Suicide Prevention Discussion:

Screen 4: In two episodes, there was no indication that safety checks were completed throughout the Self-Harm Observation Status (SHOS) admission. In five episodes, one or more entire days of safety checks were missing. In the remaining two episodes, multiple blanks were noted during a 24-hour period.

Access To Mental Health Services

Psychological Emergency

SCREEN QUESTION	COMPLIANCE SCORE				
	Total Applicable Records	YES	NO	N/A	Compliance Percentage
1 There is documentation in the medical record indicating the inmate has declared a mental health emergency	16	15	1	0	94%
2 The emergency is responded to within one hour	15	15	0	1	100%
3 Documentation indicates that the clinician considered the inmate's history of mental health treatment and past suicide attempts	15	15	0	1	100%
4 Documentation indicates the clinician fully assessed suicide risk	15	15	0	1	100%
5 A thorough mental status examination is completed	15	15	0	1	100%
6 Appropriate interventions are made	15	14	1	1	93%
7 The disposition is clinically appropriate	15	15	0	1	100%
8 There is appropriate follow-up as indicated in response to the emergency	7	7	0	9	100%

Mental Health Inmate Requests

SCREEN QUESTION	COMPLIANCE SCORE				
	Total Applicable Records	YES	NO	N/A	Compliance Percentage
1 A copy of the inmate request form is present in the electronic health record	18	18	0	0	100%
2 The request is responded to within the appropriate time frame	16	16	0	2	100%
3 The response to the request is direct, addresses the stated need, and is clinically appropriate	13	11	2	5	85%
4 The follow-up to the request occurs as intended	12	10	2	6	83%
5 Consent for treatment is obtained prior to conducting an interview	13	12	1	5	92%

Special Housing

SCREEN QUESTION		COMPLIANCE SCORE				Compliance Percentage
		Total Applicable Records	YES	NO	N/A	
1	The pre-confinement examination is completed prior to placement in special housing	17	15	2	0	88%
2	Psychotropic medications continue as ordered while inmates are held in special housing	4	3	1	13	75%
3	A mental status examination (MSE) is completed in the required time frame	16	10	6	1	63%
4	Follow-up MSEs are completed in the required time frame	10	9	1	7	90%
5	MSEs are sufficient to identify problems in adjustment	8	8	0	9	100%
6	Mental health staff responds to identified problems in adjustment	1	1	0	16	100%
7	Outpatient mental health treatment continues as indicated while the inmate is held in special housing	14	14	0	3	100%

Special Housing Discussion:

Screen 2: In this record, the inmate was scheduled to have keep-on-person (KOP) medications continued when he entered confinement on 12/19/22. However, when he was seen by psychiatry 12/28/22, the clinician reported that the inmate had not received his psychotropic medications since admission to special housing.

Screen 3: In all six records, the initial mental health screening evaluation was attempted timely, but refused by the inmate. If refused, policy requires the clinician document observations and collect collateral information. However, there was no documentation within the medical record indicating this took place.

Use of Force

	SCREEN QUESTION	COMPLIANCE SCORE				Compliance Percentage
		Total Applicable Records	YES	NO	N/A	
1	A post use-of-force physical examination is present in the record	11	9	2	0	82%
2	The post use-of-force physical examination is completed in its entirety	9	9	0	2	100%
3	There is evidence physical health staff completed a referral to mental health staff	9	5	4	2	56%
4	Documentation indicates mental health staff interviewed the inmate by the next working day to assess whether a higher level of mental health care is needed	9	9	0	2	100%
5	Recent changes in the inmate's condition are addressed	5	5	0	6	100%
6	There is evidence of appropriate follow-up care for identified mental health problems	4	4	0	7	100%
7	A physician's order is documented if force is used to provide medical treatment	0	0	0	11	N/A

Outpatient Mental Health Services

		COMPLIANCE SCORE				
SCREEN QUESTION		Total Applicable Records	YES	NO	N/A	Compliance Percentage
1	A consent for treatment is signed prior to treatment and/or renewed annually	16	16	0	0	100%
2	The inmate is interviewed by mental health staff within 14 days of arrival	10	9	1	6	90%
3	Documentation includes an assessment of mental status, the status of mental health problems, and an individualized service plan (ISP) update	10	10	0	6	100%
4	A sex offender screening is completed within 60 days of arrival at the permanent institution if applicable.	1	1	0	15	100%
5	Consent is obtained prior to initiating sex offender treatment	0	0	0	16	N/A
6	A clinically appropriate conclusion is reached following the sex offender screening	0	0	0	16	N/A
7	A refusal form is completed if the inmate refuses recommended sex offender treatment	0	0	0	16	N/A
8	A monthly progress note is completed for inmates undergoing sex offender treatment	0	0	0	16	N/A
9	The Bio-psychosocial (BPSA) is present in the record	16	10	6	0	63%
10	The BPSA is approved by the treatment team within 30 days of initiation of mental health services	2	2	0	14	100%
11	If mental health services are initiated at this institution, the initial ISP is completed within 30 days	2	2	0	14	100%
12	The ISP is individualized and addresses all required components	16	11	5	0	69%
13	ISP problem descriptions include baseline data on the frequency and intensity of symptoms and identify functional limitations	11	11	0	5	100%
14	ISP goals are time limited and written in objective, measurable behavioral terms	11	11	0	5	100%
15	The ISP specifies the type of interventions, frequency of interventions, and staff responsible for providing services	10	10	0	6	100%

	SCREEN QUESTION	COMPLIANCE SCORE				Compliance Percentage
		Total Applicable Records	YES	NO	N/A	
16	The ISP is signed by the inmate and all members of the treatment team	9	6	3	7	67%
17	The ISP is reviewed and revised at least every 180 days	7	5	2	9	71%
18	Identified problems are recorded on the problem list	16	16	0	0	100%
19	The diagnosis is clinically appropriate	16	16	0	0	100%
20	There is evidence the inmate received the mental health services described in the ISP	10	10	0	6	100%
21	Counseling is offered at least once every 60 days	16	16	0	0	100%
22	Case management is provided every 30 days to S3 inmates with psychotic disorders	3	3	0	13	100%
23	Case management is provided at least every 60 days for inmates without psychotic disorders	13	13	0	3	100%
24	The Behavioral Risk Assessment (BRA) is completed within the required time frame for inmates in close management (CM) status	0	0	0	16	N/A
25	The BRA is accurate and signed by all members of the treatment team	0	0	0	16	N/A
26	The ISP is updated within 14 days of CM placement	0	0	0	16	N/A
27	Inmates in CM are receiving 1 hour of group or individual counseling each week	0	0	0	16	N/A
28	Mental health staff complete the CM referral assessment within five working days	0	0	0	16	N/A
29	Progress notes are of sufficient detail to follow the course of treatment	16	16	0	0	100%
30	The frequency of clinical contacts is sufficient	16	16	0	0	100%

Outpatient Mental Health Services Discussion:

Screen 12: In five records, there was no Individualized Service Plan (ISP) for the inmate.

Screen 16: In three records, the ISP was present but was not signed by the inmate and members of the treatment team.

Outpatient Psychotropic Medication Practices

	SCREEN QUESTION	COMPLIANCE SCORE				Compliance Percentage
		Total Applicable Records	YES	NO	N/A	
1	A psychiatric evaluation is completed prior to initially prescribing psychotropic medication	2	2	0	14	100%
2	If the medical history indicates the need for a current medical health appraisal, one is conducted within two weeks of prescribing psychotropic medication	1	1	0	15	100%
3	Appropriate initial laboratory tests are ordered.	6	5	1	10	83%
4	Abnormal lab results required for mental health medications are followed up with appropriate treatment and/or referral in a timely manner	1	1	0	15	100%
5	Appropriate follow-up laboratory studies are ordered and conducted as required.	10	7	3	6	70%
6	The medication(s) ordered are appropriate for the symptoms and diagnosis	16	16	0	0	100%
7	Drug Except Requests (DER) are clinically appropriate	0	0	0	16	N/A
8	The inmate receives medication(s) as prescribed	16	7	9	0	44%
9	The nurse meets with the inmate if he/she refused psychotropic medication for two consecutive days and referred to the clinician if needed.	11	0	11	5	0%
10	The inmate signs DC4-711A "Refusal of Health Care Services" after three consecutive OR five medication refusals in one month.	9	0	9	7	0%

SCREEN QUESTION	COMPLIANCE SCORE				Compliance Percentage
	Total Applicable Records	YES	NO	N/A	
11 Informed consent forms are signed for each class of medication prescribed	16	16	0	0	100%
12 Follow-up sessions are conducted at the appropriate intervals	16	7	9	0	44%
13 Documentation of psychiatric encounters is complete and accurate	16	16	0	0	100%
14 Abnormal Involuntary Movement Scale (AIMS) are completed at the required intervals	13	13	0	3	100%
15 The rationale for the emergency treatment order (ETO) is documented and clinically appropriate.	0	0	0	16	N/A
16 The use of the ETO is accompanied by a physician's order specifying the medication as an ETO.	0	0	0	16	N/A
17 For each administration of the medication, an additional ETO is written.	0	0	0	16	N/A
18 The ETO is administered in the least restrictive manner	0	0	0	16	N/A
19 An emergency referral to a mental health treatment facility MHTF is initiated if involuntary treatment continues beyond 48 hours	0	0	0	16	N/A
20 An emergency referral to a mental health treatment facility MHTF is initiated if involuntary treatment continues beyond 48 hours	0	0	0	16	N/A

Outpatient Psychotropic Medication Practices Discussion:

Screen 5: In two records, follow-up labs were not completed at the required three-month interval following the prescribing of antipsychotic medications. In the third record, the required labs were incomplete.

Screen 8: In seven records, there were multiple blanks on the Medication Administration Record (MAR) indicating that the inmate may not have been offered his medications on those dates. In the eighth record, psychiatric notes indicated the inmate did not receive the refill of his KOP medication for three weeks. In the ninth record, the psychiatric note indicated that the inmate had not received his antidepressant for almost one month.

Aftercare Planning

	SCREEN QUESTION	COMPLIANCE SCORE				Compliance Percentage
		Total Applicable Records	YES	NO	N/A	
1	Aftercare plans are addressed for inmates within 180 days of End of Sentence (EOS)	14	14	0	0	100%
2	The appropriate consent form is signed by the inmate within 30 days after initiation of the continuity of care plan	14	14	0	0	100%
3	Appropriate patient care summaries are completed within 30 days of EOS	9	9	0	5	100%
4	Staff assist inmates in applying for Social Security benefits 30-45 days prior to EOS	0	0	0	14	N/A

Institutional Systems Tour

Medical Area

	SCREEN QUESTION	COMPLIANCE SCORE			Compliance Percentage
		YES	NO	N/A	
1	All triage, examination, and treatment rooms are adequately sized, clean, and organized	1	0	0	100%
2	Hand washing facilities are available	1	0	0	100%
3	Personal protective equipment for universal precautions is available	1	0	0	100%
4	Appropriate emergency medications, equipment and supplies are readily available	1	0	0	100%
5	Medical equipment (e.g. oxygen, IV bags, suture kits, exam light) is easily accessible and adequately maintained	1	0	0	100%
6	Adequate measures are taken to ensure inmate privacy and confidentiality during treatment and examinations	1	0	0	100%
7	Secured storage is utilized for all sharps/needles	1	0	0	100%
8	Eye wash stations are strategically placed throughout the medical unit	1	0	0	100%
9	Biohazardous storage bins for contaminated waste are labeled and placed throughout the medical unit	1	0	0	100%
10	There is a current and complete log for all medical refrigerators	1	0	0	100%

Infirmary

	SCREEN QUESTION	COMPLIANCE SCORE			Compliance Percentage
		YES	NO	N/A	
1	The infirmary is adequately sized, well lit, clean and organized	1	0	0	100%
2	Handwashing facilities are available	1	0	0	100%
3	Infirmery beds are within sight or sound of staff	1	0	0	100%
4	Restrooms are clean, operational and equipped for handicap use	1	0	0	100%
5	Medical isolation room(s) have negative air pressure relative to other parts of the facility	1	0	0	100%

Inmate Housing Areas

	SCREEN QUESTION	COMPLIANCE SCORE			Compliance Percentage
		YES	NO	N/A	
1	Living areas, corridors, day rooms and general areas are clean and organized	1	0	0	100%
2	Sinks and toilets are clean and operational	1	0	0	100%
3	Hot and cold water are available for showering and handwashing	1	0	0	100%
4	Over-the-counter medications are available and logged	0	1	0	0%
5	Procedures to assess medical and dental sick call are posted in a conspicuous place	1	0	0	100%
6	First-aid kits are present in housing units	1	0	0	100%

Inmate Housing Areas Discussion:

Screen 4: Acetaminophen and ibuprofen were not located in P Dorm.

Pharmacy

SCREEN QUESTION	COMPLIANCE SCORE			Compliance Percentage
	YES	NO	N/A	
1 All narcotics are securely stored and a count is conducted every shift	1	0	0	100%
2 Out-of-date controlled substances are segregated and labeled	1	0	0	100%
3 The institution has an established emergency purchasing system to supply out-of-stock or emergency medication	1	0	0	100%
4 The pharmacy area contains adequate space, security, temperature, and lighting for storage of inventories and work activities	1	0	0	100%
5 Expired, misbranded, damaged or adulterated products are removed and separated from active stock no less than quarterly	1	0	0	100%
6 A check of 10 randomly selected drug items in nursing areas reveals no expired medications	1	0	0	100%
7 There is a stock level perpetual inventory sheet for each pharmaceutical storage area and ordering and stock levels are indicated	1	0	0	100%

Psychiatric Restraint

SCREEN QUESTION	COMPLIANCE SCORE			Compliance Percentage
	YES	NO	N/A	
1 All equipment is available and in working order	1	0	0	100%
2 There is appropriate restraint equipment for the population in all necessary sizes	1	0	0	100%
3 All interviewed staff are able to provide instructions on the application of restraints	1	0	0	100%

Self-Injury/Suicide Prevention

	SCREEN QUESTION	COMPLIANCE SCORE			Compliance Percentage
		YES	NO	N/A	
1	The suicide/self-harm observation cells in the infirmary and observation cells in the special housing units are appropriately retrofitted and safe	1	0	0	100%
2	A sufficient number of suicide-resistant mattresses, blankets and privacy wraps are available for each certified cell	1	0	0	100%

Special Housing

	SCREEN QUESTION	COMPLIANCE SCORE			Compliance Percentage
		YES	NO	N/A	
1	Confinement rounds are conducted weekly	1	0	0	100%
2	A tool is available in the special housing unit to cut down an inmate who has attempted to hang him/herself	1	0	0	100%

Mental Health Services

	SCREEN QUESTION	COMPLIANCE SCORE			Compliance Percentage
		YES	NO	N/A	
1	Adequate space is available for the mental health department	1	0	0	100%
2	The inpatient unit environment is safe and conducive to providing mental health care	0	0	1	N/A
3	Outpatient group therapy is offered	1	0	0	100%

Interview Summaries

INMATE INTERVIEWS

Eleven of twelve inmates agreed to participate in interviews. Overall, inmates were familiar with how to access medical, dental, and mental health services when needed. However, only half of interviewed inmates reported satisfaction with the care they received. Inmates reported that they were not always seen timely in the clinics and that wait times for sick call services could be up to three weeks. Inmates generally reported no disruptions in continuity of care for medication services, except over-the-counter (OTC) medications in the dorms.

Inmates on the mental health caseload expressed satisfaction with case management and counseling. They indicated that services were therapeutically beneficial.

Two inmates were highly complementary of the dental care services. However, several interviewed inmates noted long wait times.

MEDICAL STAFF INTERVIEWS

Seven members of the medical team participated in interviews. All were knowledgeable about policies and procedures related to accessing services and the provision of health care at this institution. Most indicated that the medical care provided was adequate, but that additional staffing would be beneficial.

Staff members reported some challenges related to the implementation and utilization of the electronic health record. These included the availability of internet access in confinement areas which creates documentation delays and other connectivity issues. Additionally, staff expressed concern that there are limited ADA accessible transport vans which can affect getting patients to consultation or specialty services appointments. The majority of staff expressed that their training was sufficient to complete their job.

MENTAL HEALTH STAFF INTERVIEWS

Three members of the mental health team participated in interviews. All interviewees indicated they were familiar with policies and procedures for prevention of self-harm. Although, the mental health professionals have large caseloads (approximately 260 each), they felt adequate care was provided. Additionally, they indicated that supervisors were approachable and helpful in providing formal and informal consultation services.

SECURITY STAFF INTERVIEWS

Three security officers participated in interviews. Officers were able to describe their role and responsibilities in their respective job capacity and were knowledgeable of the policies and procedures that enable inmates to access physical and mental health services.

Corrective Action and Recommendations

Physical Health Survey Findings Summary

Chronic Illness Clinics Review	
Assessment Area	Total Number Finding
Cardiovascular Clinic	0
Endocrine Clinic	2
Gastrointestinal Clinic	0
General Chronic Illness Clinics	1
Immunity Clinic	2
Miscellaneous Clinic	0
Neurology Clinic	0
Oncology Clinic	0
Respiratory Clinic	1
Tuberculosis Clinic	0
Episodic Care Review	
Assessment Area	Total Number Finding
Emergency Care	1
Outpatient Infirmary Care	2
Inpatient Infirmary Care	5
Sick Call	1
Other Medical Records Review	
Assessment Area	Total Number Finding
Confinement Medical Review	0
Consultations	2
Medical Inmate Request	0
Medication and Vaccine Administration	3
Intra-System Transfers	1
Periodic Screening	3
PREA Medical Review	2

Dental Review	
Assessment Area	Total Number Finding
Dental Care	0
Dental System	0
Institutional Tour	
Assessment Area	Total Number Finding
Institutional Tour	1
Total Findings	
Total	27

Mental Health Findings Summary

Self-Injury and Suicide Prevention Review	
Assessment Area	Total Number Finding
Self-Injury and Suicide Prevention	2
Psychiatric Restraints	N/A
Access to Mental Health Services Review	
Assessment Area	Total Number Finding
Psychological Emergencies	0
Mental Health Inmate Request	0
Special Housing	2
Use of Force	1
Mental Health Services Review	
Assessment Area	Total Number Finding
Inpatient Mental Health Services	N/A
Inpatient Psychotropic Medications	N/A
Outpatient Mental Health Services	4
Outpatient Psychotropic Medications	5
Aftercare Planning	0
Total Findings	
Total	14

All items that scored below 80% or were identified as non-compliant should be addressed through the corrective action process. Within 30 days of receiving the final copy of the CMA's survey report, institutional staff must develop a corrective action plan (CAP) that addresses the deficiencies outlined in the report and in-service training should be conducted for all applicable findings. The CAP is then submitted to the Office of Health Services (OHS) for approval before it is reviewed and approved by CMA staff. Once approved, institutional staff implement the CAP and work towards correcting the findings.

Usually, four to five months after a CAP is implemented (but no less than three months) the CMA will evaluate the effectiveness of the corrective actions taken. Findings deemed corrected are closed and monitoring is no longer required. Conversely, findings not corrected remain open. Institutional staff will continue to monitor open findings until the next assessment is conducted, typically within three to four months. This process continues until all findings are closed.

Recommendations

In addition to the needed corrective actions described above and based upon the comprehensive review of the physical, mental health, and administrative services at SUWAN the CMA makes the following recommendations:

- Conduct a thorough review of inmates enrolled in CIC to reassess M-grade status and ensure that inmates are scheduled at the appropriate intervals.
- Ensure that laboratory and diagnostic testing is completed as required for periodic screening encounters.
- Establish a system to ensure that DOH and on-site provider reviews of immunity patients are coordinated and completed to include all required elements of the examination.
- Ensure timely completion of consultation and specialty services referrals.
- Review policies regarding the administration of medications to ensure adequate documentation and follow-up care for inmates who "no show" or refuse psychotropic medications.
- Review and reassess institutional procedures for ensuring that inmates on SHOS are monitored at the required intervals for safety concerns and that documentation is maintained in the electronic health record.