

**FIFTH OFF-SITE CORRECTIVE ACTION PLAN  
ASSESSMENT**

of

**TOMOKA CORRECTIONAL INSTITUTION**

for the

Physical and Mental Health Survey  
Conducted September 13 -15, 2022

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**I. Overview**

On September 13-15, 2022, the Correctional Medical Authority (CMA) conducted an on-site physical and mental health survey of Tomoka Correctional Institution (TOMCI). The survey report was distributed on October 25, 2022. On December 21, 2022, TOMCI submitted, and the CMA approved, the institutional corrective action plan (CAP) which outlined the efforts to be undertaken to address the findings of the TOMCI survey. These efforts included in-service training, physical plant improvements, and the monitoring of applicable medical records for a period of no less than ninety days. Items II and III below describe the outcome of the CMA's evaluation of the institution's efforts to address the survey findings.

**Summary of CAP Assessments for Tomoka Correctional Institution**

| CAP # | CAP Assessment Date | Assessment Location | Total # Survey Findings | Total # Open Findings | Total # Findings Closed |
|-------|---------------------|---------------------|-------------------------|-----------------------|-------------------------|
| 1     | June 13, 2023       | Off-site            | 40                      | 19                    | 21                      |
| 2     | October 9, 2023     | Off-site            | 19                      | 10                    | 9                       |
| 3     | January 26, 2024    | Off-Site            | 10                      | 6                     | 4                       |
| 4     | April 27, 2024      | Off-Site            | 6                       | 2                     | 4                       |
| 5     | September 1, 2024   | Off-Site            | 2                       | 1                     | 1                       |

**II. Physical Health Assessment Summary**

The CAP closure files revealed sufficient evidence to determine that the remaining physical health finding was not corrected. One physical health finding remains open.

| Finding   | Closed | Open: Evaluation of records indicated an acceptable level of compliance was not met | Open: No episodes were available for review | Open: Institutional monitoring was inadequate | Open: Institutional monitoring indicated compliance was not met |
|---|--------|---|---|---|---|
| <b>Periodic Screenings</b><br>Screen 3: All diagnostic tests are completed prior to the periodic screening encounter. |        | X   |   |   |   |

### III. Mental Health Assessment Summary

#### A. Main Unit

The CAP closure files revealed sufficient evidence to determine that the remaining mental health finding was corrected. All mental health findings are closed.

| <b>Finding</b>   | <b>Closed</b> | <b>Open:</b> Evaluation of records indicated an acceptable level of compliance was not met | <b>Open:</b> No episodes were available for review | <b>Open:</b> Institutional monitoring was inadequate | <b>Open:</b> Institutional monitoring indicated compliance was not met |
|--|---------------|--|--|--|--|
| <b><u>Use of Force</u></b><br>Screen 3: There is evidence physical health staff completed a referral to mental health staff. | <b>X</b>      |  |  |  |  |

### IV. Conclusion

Until appropriate corrective actions are undertaken by TOMCI staff, and the results of those corrections reviewed by the CMA, this CAP will remain open. As some of the necessary steps to correct findings require further institutional monitoring, closure may take as long as three months. Follow-up assessment by the CMA will most likely take place through an off-site evaluation.