

**THIRD OFF-SITE CORRECTIVE ACTION PLAN
ASSESSMENT**

of

UNION CORRECTIONAL INSTITUTION

for the

Physical and Mental Health Survey
Conducted October 12-14, 2021

CMA STAFF

Lynne Babchuck, LCSW

Distributed on August 7, 2023

I. Overview

On October 12-14, 2021, the Correctional Medical Authority (CMA) conducted an on-site physical and mental health survey of Union Correctional Institution (UCI). The survey report was distributed on November 8, 2021. In December 2021, UCI submitted, and the CMA approved, the institutional corrective action plan (CAP) which outlined the efforts to be undertaken to address the findings of the UCI survey. These efforts included in-service training, physical plant improvements, and the monitoring of applicable medical records for a period of no less than ninety days. Items II and III below describe the outcome of the CMA’s evaluation of the institution’s efforts to address the survey findings.

Summary of CAP Assessments for Union Correctional Institution

CAP #	CAP Assessment Date	Assessment Location	Total # Survey Findings	Total # Open Findings	Total # Findings Closed
1	4/27/22	Off-site	12	5	7
2	10/11/22	Off-site	5	4	1
3	7/12/23	Off-site	4	3	1

II. Physical Health Assessment Summary

The CAP closure files revealed sufficient evidence to determine that 0 of 2 physical health findings were corrected. Two physical health findings will remain open.

Finding	Closed	Open: Evaluation of records indicated an acceptable level of compliance was not met	Open: No episodes were available for review	Open: Institutional monitoring was inadequate	Open: Institutional monitoring indicated compliance was not met
<p><u>INFIRMARY CARE</u></p> <p>PH-2: In 4 records, there was no evidence all orders were implemented as received.</p>		X			

Finding	Closed	Open: Evaluation of records indicated an acceptable level of compliance was not met	Open: No episodes were available for review	Open: Institutional monitoring was inadequate	Open: Institutional monitoring indicated compliance was not met
PH-3: In 3 of 11 applicable records, there was no evidence of a complete discharge note by the nurse.		X			

III. Mental Health Assessment Summary

A. Main Unit

The CAP closure files revealed sufficient evidence to determine that 1 of 2 mental health findings were corrected. One mental health finding will remain open.

Finding	Closed	Open: Evaluation of records indicated an acceptable level of compliance was not met	Open: No episodes were available for review	Open: Institutional monitoring was inadequate	Open: Institutional monitoring indicated compliance was not met
<p><u>SPECIAL HOUSING</u></p> <p>MH-4: In 1 of 3 applicable records (9 reviewed), psychotropic medications were not continued as ordered while the inmate was held in confinement.</p>		X			

Finding	Closed	Open: Evaluation of records indicated an acceptable level of compliance was not met	Open: No episodes were available for review	Open: Institutional monitoring was inadequate	Open: Institutional monitoring indicated compliance was not met
<p><u>OUTPATIENT MEDICATION SERVICES</u></p> <p>MH-6: In 6 of 17 applicable records, the inmate did not receive medications as prescribed.</p>	X				

IV. Conclusion

Until appropriate corrective actions are undertaken by UCI staff and the results of those corrections reviewed by the CMA, this CAP will remain open. As some of the necessary steps to correct findings require further institutional monitoring, closure may take as long as three months. Follow-up assessment by the CMA will most likely take place through an off-site evaluation.