

**SECOND OFF-SITE CORRECTIVE ACTION PLAN  
ASSESSMENT**

of

**WALTON CORRECTIONAL INSTITUTION**

for the

Physical and Mental Health Survey  
Conducted February 17 - 18, 2016

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## CAP Assessment of Walton Correctional Institution

### I. Overview

On February 16 - 18, 2016, the Correctional Medical Authority (CMA) conducted an on-site physical and mental health survey of Walton Correctional Institution (WALCI). The survey report was distributed on February 26, 2016. In March 2016, WALCI submitted and the CMA approved, the institutional corrective action plan (CAP) which outlined the efforts to be undertaken to address the findings of the February 2016 survey. These efforts included in-service training, physical plant improvements, and the monitoring of applicable medical records for a period of no less than ninety days. On June 24, 2016, CMA staff requested access to monitoring documents to assist in determining if an on-site or off-site assessment should be conducted. Based on the documents provided, CMA staff conducted an off-site CAP assessment on July 26, 2016 to evaluate the effectiveness of corrective actions taken by institutional staff. The CAP closure files revealed sufficient evidence to determine that 5 of 7 physical health findings and 2 of 2 mental health findings were corrected.

On October 25, 2016, CMA staff requested access to monitoring documents to assist in determining if an on-site or off-site assessment should be conducted. Based on the documents provided, CMA staff conducted an off-site assessment on February 20, 2017 to evaluate the effectiveness of corrective actions taken by institutional staff. Items II and III below describe the outcome of the CMA's evaluation of the institution's efforts to address the survey findings.

### II. Physical Health Assessment Summary

The CAP closure files revealed sufficient evidence to determine that 2 of the 2 physical health findings were corrected. All physical health findings are closed.

Finding	CAP Evaluation Outcome
<p><b><u>INTRA-SYSTEM TRANSFERS</u></b></p> <p><b>PH-3: In 6 of 17 records reviewed, the "Health Information Transfer/Arrival Summary" (DC4-760A) was incomplete.</b></p>	<p><b>PH-3 CLOSED</b></p> <p>Adequate evidence of in-service training and documentation of correction were provided to close PH-3.</p>

Finding	CAP Evaluation Outcome
<p><b><u>DENTAL SYSTEMS REVIEW</u></b></p> <p><b>PH-7: A tour of the dental clinic revealed that necessary equipment was not in proper working order.</b></p>	<p><b>PH-7 CLOSED</b></p> <p>Adequate evidence of in-service training and documentation of correction were provided to close PH-7.</p>

### III. Mental Health Assessment Summary

All mental health findings were closed on the first CAP assessment.

### IV. Conclusion

All findings as a result of the February 2016 survey are closed and no further action is required on this CAP. The CMA appreciates the efforts to improve services and documentation at this institution and continues to encourage ongoing quality improvement activities to ensure that the proper provision of health care services is maintained.